Mechanisms of Antifungal Drug Resistance

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Antifungal therapy is a central component of patient management for acute and chronic mycoses. Yet, treatment choices are restricted because of the sparse number of antifungal drug classes. Clinical management of fungal diseases is further compromised by the emergence of antifungal drug resistance, which eliminates available drug classes as treatment options. Once considered a rare occurrence, antifungal drug resistance is on the rise in many high-risk medical centers. Most concerning is the evolution of multidrug- resistant organisms refractory to several different classes of antifungal agents, especially among common *Candida* species. The mechanisms responsible are mostly shared by both resistant strains displaying inherently reduced susceptibility and those acquiring resistance during therapy. The molecular mechanisms include altered drug affinity and target abundance, reduced intracellular drug levels caused by efflux pumps, and formation of biofilms. New insights into genetic factors regulating these mechanisms, as well as cellular factors important for stress adaptation, provide a foundation to better understand the emergence of antifungal drug resistance.

The global burden of fungal infections is growing. More than 300 million people are believed to suffer from a serious fungal infection resulting in over 1,350,000 deaths (Brown et al. 2012). Fungal infections cause life-threatening acute diseases, like cryptococcosis and invasive aspergillosis, severe chronic diseases, such as allergic bronchopulmonary aspergillosis, or they may present less-threatening superficial infections, such as *Candida* vaginitis or oral candidiasis (Warnock 2007). Most invasive fungal infections occur as a consequence of immune suppression that results from conditions, such as AIDS or from treatments, such as chemotherapy for cancer, immunosuppressive therapy for organ transplantation, and corticosteroid therapy for inflammation. More than 90% of reported fungal-associated deaths result from species belonging to three genera: *Cryptococcus*, *Candida*, and *Aspergillus* (Brown et al. 2012).

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Failure to treat effectively, because of inadequate or delayed diagnostics, may result in serious chronic illness or blindness or may be fatal. Recognition of the importance of fungal infections has led to a dramatic rise in the application of antifungal agents for the treatment and prevention of infection. Unfortunately, the treatment options are highly limited, as there are few chemical classes represented by existing antifungal drugs. The antifungal drug classes include: polyenes, azoles, allylamines, flucytosine, and echinocandins (Groll et al. 1998; Kathiravan et al. 2012). The azoles (e.g., fluconazole, voriconazole, and posaconazole) and allylamines (e.g., terbinafine) inhibit ergosterol biosynthesis, whereas polyenes (e.g., amphotericin B) bind to ergosterol in the plasma membrane, where they form large pores that disrupt cell function. Flucytosine (5-fluorocytosine) inhibits pyrimidine metabolism and DNA synthesis. Finally, the echinocandins (caspofungin, anidulafungin, and micafungin) are cell wall-active agents that inhibit the biosynthesis of β -1,3-Dglucan, a major structural component of the fungal cell wall. The widespread use of antifungal agents is presumed to be a factor that promotes drug resistance (Antonovics et al. 2007; Cowen 2008). The emergence of acquired drug resistance among prevalent fungal pathogens restricts treatment options, which alters patient management. A greater understanding of mechanism-specific resistance and the biological factors that contribute to resistance emergence is critical to develop better therapeutics, and to improve diagnostics and intervention strategies that may overcome and prevent resistance. The detailed and complex biological nature of antifungal drug resistance mechanisms will be explored in this review with an emphasis on azoles and echinocandins, the two main classes of drugs used as first-line therapy.

ASSESSING RESISTANCE FACTORS

Clinical resistance refers to therapeutic failure in which a patient fails to respond to an antifungal drug following administration at a standard dose. The development of antifungal resistance is complex and depends on multiple host and microbial factors (White et al. 1998). Host immune status is a critical factor, as fungistatic drugs must work synergistically to control and clear an infection. Patients with severe immune dysfunction are more likely to fail therapy, as the antifungal drug must combat the infection without the benefit of an immune response (Ben-Ami et al. 2008). The presence of indwelling catheters, artificial heart valves, and other surgical devices may also contribute to refractory infections, as infecting organisms attach to these objects and establish biofilms that resist drug action (d'Enfert 2006; Ramage et al. 2009; Bonhomme and d'Enfert 2013). Appropriate therapy requires that each drug reach the site of infection at a concentration sufficient for antimicrobial action. The pharmacokinetics of many drugs is known, yet we still do not have a good understanding of drug penetration at all sites of infection. Thus, some microorganisms are exposed to drugs at suboptimal levels. This situation results in cells that persist during therapy and may form subclinical reservoirs seeding new infection. All of these factors contribute to microbial resistance, which refers to the selection of strains that can proliferate despite exposure to therapeutic levels of antifungals. Such strains contribute significantly to drug failures during therapy. Microbial resistance involves both primary resistant strains, which are inherently less susceptible to a given antifungal agent, and secondary resistant strains, which acquire a resistance attribute or trait in an otherwise susceptible strain following drug exposure. The molecular mechanisms involved in acquired resistance are often expressed at various levels in primary resistant strains (Fig. 1), and these will be explored in detail in this review.

MOLECULAR MECHANISMS OF AZOLE RESISTANCE

Alterations in Ergosterol Biosynthetic Enzymes: Erg11 (Cyp51A) Substitutions

Azole drugs target the ergosterol biosynthetic pathway. Ergosterol is a significant component of the fungal cell membrane; interruption of its synthesis allows accumulation of 14α -methyl

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Figure 1. Mechanisms of resistance to antifungal drugs that target the cell membrane—the azoles and polyenes. (*A*) Azole resistance can emerge through multiple mechanisms including overexpression or alteration of the drug target, up-regulation of drug transporters, or cellular changes that reduce drug toxicity or enable tolerance of drug-induced stress. The colored circles indicate ergosterol biosynthesis intermediates. (*B*) Polyene resistance generally involves depletion of the target ergosterol attributable to loss-of-function mutations in ergosterol biosynthetic genes. Bullet points describe resistance mechanisms for *Candida albicans, Cryptococcus neoformans*, and *Aspergillus fumigatus*. Dimmed images represent mechanisms that do not play a key role in resistance to the indicated drug class. (From Cowen 2008; adapted, with permission, from Macmillan © 2008.)

sterols, which alters membrane stability, permeability, and the action of membrane-bound enzymes (White et al. 2002; Odds et al. 2003). The specific target of azoles is a cytochrome P450dependent enzyme lanosterol 14α -demethylase (known as Erg11 in yeasts, which is homologous to Cyp51A in molds). Erg11/Cyp51A catalyze the oxidative removal of the 14α -methyl group from lanosterol. Azole binding to the ferric iron moiety of the heme-binding site blocks the enzyme's natural substrate lanosterol, disrupting the biosynthetic pathway (Odds et al. 2003). Agents like doxycycline enhance the action of azoles like fluconazole presumably by depleting heme-associated iron (Fiori and Van Dijck 2012). Amino acid substitutions in the drug target that inhibit drug binding are a common azole-resistance mechanism in Candida; over 140 substitutions have been reported in resistant strains, many of which have an additive effect (Morio et al. 2010). Two of the most common alterations in C. albicans are R467K and G464S, near the heme-binding site (Casalinuovo et al. 2004; Morio et al. 2010). ERG11 mutations may play a lesser role in this species than increased drug efflux (Perea et al. 2001). Despite the potential for ERG11 point mutations to have a greater impact in haploid organisms (e.g., Candida glabrata) than in diploids (e.g., C. albicans), increased drug efflux is the most common resistance mechanism in C. glabrata as well (Borst et al. 2005; Sanguinetti et al. 2005). A limited number of ERG11 mutations have been reported in resistant strains of C. neoformans, including Y145F (Sionov et al. 2012) and G484S (Rodero 2003).

In *A. fumigatus*, target site alterations are the most commonly reported resistance mechanism, with over 30 *cyp51A* mutations identified (Howard and Arendrup 2011). Resistance can emerge in response to azole therapy (Verweij et al. 2007; Howard et al. 2009), and the causative mechanism can change over the course of infection (Chen et al. 2005; Howard et al. 2009). The most commonly reported Cyp51A substitutions that confer acquired resistance are at codons 54 and 220. The position and nature of the alteration within the protein structure influence cross-resistance within the drug class, with prox-

imity to the heme-binding site affecting the binding of any azole drug (Xiao et al. 2004; Snelders et al. 2010; Fraczek et al. 2011). To date, all known mutations confer resistance to itraconazole, whereas resistance to voriconazole and/or posaconazole depend on the specific modification (Verweij et al. 2007, 2009; Howard and Arendrup 2011; van der Linden et al. 2011; Camps et al. 2012b). Resistance has also been identified in azole-naïve patients, which is environmentally derived and appears to be driven by the agricultural use of azoles (Snelders et al. 2012; Bowyer and Denning 2013; Verweij et al. 2013). These cases involved a Cyp51A substitution at position 98 (from leucine to histidine), and a 34-base tandem repeat (TR) in the cyp51A promoter, which leads to overexpression (Snelders et al. 2008). Both changes are required to confer resistance (Mellado et al. 2007). Notably, these resistant isolates can outcross with susceptible strains, suggesting the potential for resistance to transfer via the sexual cycle (Camps et al. 2012c). Strains bearing these alterations have emerged across Europe and beyond (Mortensen et al. 2010; Chowdhary et al. 2012). Further, a new resistance mutation selected from the environment, TR46/Y121F/T289A, is also being reported among patients in the Netherlands (van der Linden et al. 2013).

Alterations in Ergosterol Biosynthetic Enzymes

Overexpression of ERG11 is common among azole-resistant clinical isolates of C. albicans (White 1997; Franz et al. 1998; Perea et al. 2001). This contributes directly to resistance as an increase in target abundance requires more drug for inhibition (Franz et al. 1998), reducing susceptibility (Akins 2005). Multiple mechanisms account for ERG11 constitutive overexpression in azole-resistant clinical isolates. First, amplification of the ERG11 gene can occur by the formation of an isochromosome with two copies of the left arm of chromosome 5(i(5L)), in which ERG11 resides, or by duplication of the entire chromosome (Selmecki et al. 2006). Second, activating mutations in the gene encoding the transcription factor Upc2 up-regulate most ergosterol biosynthesis genes (Silver et al. 2004;

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MacPherson et al. 2005; Flowers et al. 2012); disruption of *C. albicans UPC2* causes hypersusceptibility to azoles.

Overexpression of ERG11 has also been reported for azole-resistant isolates of C. glabrata, Candida parapsilosis, Candida tropicalis, and Candida krusei (Barchiesi et al. 2000; Redding et al. 2002; Vandeputte et al. 2005; Rogers et al. 2006; Jiang et al. 2013). The mechanism for this overexpression or its contribution to azole resistance in these species remains largely unknown. Overexpression of Cyp51A in A. fumigatus (Cyp51) has been observed in two resistant clinical isolates from a patient who failed azole therapy (Arendrup et al. 2010). Whole genome sequencing of these isolates revealed a mutation in the CCAAT-binding transcription factor complex subunit HapE, leading to a P88L amino acid substitution, which conferred azole resistance and increased cyp51A expression (Camps et al. 2012a).

Inhibition of Erg3, a $\Delta^{5,6}$ -desaturase, leads to a depletion of ergosterol and accumulation of 14 α -methylfecosterol, which allows continued growth in the presence of azole despite altered membrane composition (Lupetti et al. 2002). The *erg3* mutations are sufficient for azole resistance in *Candida*, although they are seldom associated with high-level resistance. The *erg3* mutations are associated with cross-resistance to polyenes, likely caused by the depletion of the target ergosterol (White et al. 1998). To date, Erg3 alterations have not been associated with resistance in *Aspergillus*.

Drug Uptake

Drug action often requires import of the drug into the cell to reach the drug target. Some antifungal agents use specific permeases to enter cells. For example, 5-fluorocytosine uses permeases (*FCA1*, *FCY2*, *FCY22*, and *FCY23*) to enter *C. albicans* cells (Hope et al. 2004). Recently, it was shown that the antifungal peptide histatin 5, as well as amine-containing substrates use the Dur31 permease in *C. albicans* (Mayer et al. 2012). The import of azoles into fungal cells is not completely understood, but may influence resistance. One recent study suggests that fluconazole enters *C. albicans* and other fungi by energy-independent facilitated diffusion, with azole import varying among *C. albicans* clinical isolates (Mansfield et al. 2010). The azole permease remains unknown.

Drug Efflux

A ubiquitous resistance mechanism is the activation of membrane-associated efflux pumps, which recognize diverse chemicals enabling multidrug resistance (MDR). In fungi, two different drug efflux systems modulate azole resistance, the ATP-binding cassette (ABC) superfamily and the major facilitator superfamily (MFS).

ABC proteins are ATP-dependent transporters that usually are arranged in a duplicated topology, comprising two transmembrane span (TMS) domains and two cytoplasmic nucleotide-binding domains (NBD) that catalyze ATP hydrolysis. The analysis of fungal pathogen genomes has identified varying numbers of ABC transporters with different topologies. C. albicans is predicted to contain 28 ABC proteins (Prasad and Goffeau 2012), and C. glabrata approximately two-thirds of that number. Many more ABC proteins are found in A. fumigatus and C. neoformans (Lamping et al. 2010). There are several classes of fungal ABC transporters (Braun et al. 2005); however, only the pleiotropic drug resistance (PDR) class will be discussed here. In C. albicans, the PDR class comprises the major transporters involved in azole resistance including CDR1 (for Candida drug resistance) and CDR2, as well as other PDR-type transporters (Braun et al. 2005). Additional genes that encode the typical (NBD-TMS₆)₂ protein topology but that have not yet been implicated in resistance (CDR3, CDR4, CDR11, SNQ2) are also found in C. albicans. Up-regulation of both CDR1 and CDR2 mediates azole resistance by enhanced drug efflux and reduced azole accumulation (Sanglard et al. 2009).

Specific ABC transporters have also been implicated in azole resistance in other fungal pathogens. These include CgCdr1, CgCdr2, and CgSnq2 in *C. glabrata*, and Afr1 in *C. neoformans* (Coleman and Mylonakis 2009). In

A. fumigatus, decreased intracellular accumulation of itraconazole has been observed in itraconazole-resistant clinical isolates and laboratory-derived strains (Denning et al. 1997; Manavathu et al. 1999). Whereas the impact of specific transporters on azole resistance of *A.* fumigatus remains largely enigmatic, ABC transporter genes are up-regulated in response to azole exposure (*AfuMDR1*, *AfuMDR2*, and five genes designated *abcA*-*E*) (Tobin et al. 1997; da Silva Ferreira et al. 2006), and in resistant clinical isolates (Slaven et al. 2002; Nascimento et al. 2003). *AfuMDR1*, renamed *CDR1B*, encodes the only transporter implicated directly in *A.* fumigatus azole resistance (Fraczek et al. 2013).

MFS transporters have multiple TMSs and use the electrochemical proton-motive force to power drug efflux. Their topology usually comprises 12 or 14 TMSs. MFS transporters are involved in MDR function by proton antiport and are classified in two groups: the drug:H⁺ antiporter-1 (12 TMS) DHA1 family, and the drug:H⁺ antiporter-2 (14 TMS) DHA2 family. The C. albicans genome sequence predicts 95 MFS transporters in 17 families (Gaur et al. 2008), but only one MFS, MDR1, has been implicated in azole resistance of C. albicans and Candida dubliniensis. MDR1 encodes a DHA1 MFS transporter that leads to enhanced azole efflux and azole resistance when overexpressed. Heterologous expression of MDR1 in Saccharomyces cerevisiae confers resistance to fluconazole but not to other azoles (Sanglard et al. 1995, 1996; Lamping et al. 2007). Expression of C. albicans FLU1 (for fluconazole resistance) in S. cerevisiae also confers fluconazole-specific efflux, although a similar role has not been identified in C. albicans (Calabrese et al. 2000). In A. fumigatus, AfuMDR3 encodes MFS transporter that is up-regulated in itraconazole-resistant mutants (Nascimento et al. 2003).

MDR Regulation

There have been considerable advances in understanding the transcriptional regulation of fungal multidrug transporters. As expected, general chromatin and transcriptional regulatory complexes influence expression of MDR genes. For example, the mediator complex serves as a transcriptional coactivator in all eukaryotes and influences MDR gene expression in *S. cerevisiae* and *C. glabrata*. The Gal11 subunit binds Pdr1 in and is required for up-regulation of Pdr1 targets (Thakur et al. 2008). The mediator complex can associate with other transcriptional coactivators. The transcriptional adaptor Ada2, which is part of the SAGA coactivator complex, binds to both *CDR1* and *MDR1* promoters in *C. albicans* (Sellam et al. 2009a). The complex landscape of specific *cis*- and *trans*regulatory elements that control expression of MDR genes is described below.

Cis-Acting Elements

Cis-acting elements regulating CDR1, CDR2, and MDR1 were first identified in C. albicans. The promoters of CDR1 and CDR2 contain common drug responsive element (DRE) sequences that are required for transcriptional up-regulation in response to inducers (steroids, fluphenazine) or in azole-resistant strains (de Micheli et al. 2002). MDR1 cis-acting elements can have complex arrangements that differ depending on the inducer. For example, a benomyl response element (BRE, -296 to -260 with respect to the first ATG) is required for benomyldependent MDR1 up-regulation and an H₂O₂ response element (HRE, -561 to -520) is required for H2O2-dependent MDR1 up-regulation (Rognon et al. 2006). The BRE contains a perfect match to the consensus binding sequence of Mcm1, which regulates MDR1 expression (Riggle and Kumamoto 2006).

In *C. glabrata*, ABC-transporter regulation is more similar to that in *S. cerevisiae* (Paul et al. 2011). Pleiotropic drug responsive elements (PDREs) present in *CgCDR1*, *CgCDR2*, and *CgSNQ2* are structurally similar to those in *S. cerevisiae* (Sanglard et al. 1999; Torelli et al. 2008). PDREs of *CgCDR1* are necessary for high-level *CDR1* expression in mitochondrial mutants (Paul et al. 2011).

Trans-Acting Elements

The first major transcription factor important for regulating efflux activity was *TAC1* (for tran-

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scriptional activator of CDR genes), a member of the Zn₂Cys₆ transcription factor family from C. albicans. TAC1 is critical for the up-regulation of CDR1 and CDR2 in response to drugs or in clinical azole-resistant isolates. Constitutive upregulation of CDR1 and CDR2 can be attributed to gain-of-function (GOF) mutations in different domains of TAC1 (Coste et al. 2004, 2006). Nineteen different TAC1 mutations have been confirmed as GOF, whereas others remain candidates (Coste et al. 2009; Siikala et al. 2010; Sanglard 2011). The TAC1 regulon was further elucidated by genome-wide transcriptional profiling and DNA occupancy analysis of Tac1 (Liu et al. 2007), demonstrating that Tac1 binds to the DRE of CDR1 and CDR2, likely via a consensus-binding motif (CGGN₄CGG). Recently, another CDR1 regulator was discovered by systematic overexpression of modified Zn₂Cys₆ genes; MRR2 (orf19.6182) is required for the basal expression of *CDR1*; however, it does not regulate CDR2 (Schillig and Morschhauser 2013).

The C. glabrata orthologs of CDR1 and CDR2 are regulated by the transcriptional activator, CgPdr1, identified based on homology with S. cerevisiae Pdr1 and Pdr3, key transcriptional regulators of multidrug resistance (Vermitsky and Edlind 2004). CgPdr1 binds to the PDRE consensus in CgCDR1 (Paul et al. 2011). GOF mutations hyperactivate CgPdr1, resulting in up-regulation of target genes, including the ABC transporters involved in azole resistance (Vermitsky and Edlind 2004; Tsai et al. 2006; Vermitsky et al. 2006; Ferrari et al. 2009; Caudle et al. 2011). Fifty-eight distinct GOF mutations have been identified at 51 positions in CgPDR1 alleles from clinical azole-resistant isolates (Ferrari et al. 2009). Notably, only a few genes were commonly regulated by the GOF mutations, suggesting complex interactions of CgPDR1 with transcriptional machinery (Tsai et al. 2010; Caudle et al. 2011; Ferrari et al. 2011b). Consistent with this, the Gal11p/MED15 subunit of the mediator coactivator and the KIX domain interact with CgPdr1, which is critical for MDR regulation (Monk and Goffeau 2008; Thakur et al. 2008; Naar and Thakur 2009).

The other important regulator of multidrug resistance in C. albicans is the Zn₂Cys₆ transcription factor Mrr1 (for multidrug-resistance regulator 1), which regulates the MFS transporter gene MDR1. MRR1 was discovered by genome-wide transcriptional analysis of C. albicans isolates that up-regulated MDR1. Deletion of MRR1 in clinical strains blocked MDR1 up-regulation (Morschhauser et al. 2007). Fifteen different MRR1 GOF mutations have been reported (Sanglard 2011; Eddouzi et al. 2013), causing constitutive up-regulation of MDR1 (Dunkel et al. 2008). Genome-wide transcriptional studies using clinical strains revealed a core set of 14 genes involved in diverse functions that are up-regulated in isolates carrying MRR1 GOF mutations (Morschhauser et al. 2007). Genome-wide in vivo occupancy studies of a hyperactive form of Mrr1 identified 701 binding sites and a putative binding motif, DCSGHD (Schubert et al. 2011), which was also found in MDR1 regulatory regions (Hiller et al. 2006; Rognon et al. 2006).

Besides Tac1/Mrr1 and CgPdr1, additional positive regulators of MDR have been reported in C. albicans. Cap1 is a basic region leucine zipper (bZIP) transcription factor, which binds to the MDR1 promoter and regulates MDR1 expression in oxidative stress conditions (Alarco and Raymond 1999; Znaidi et al. 2009). Mcm1 also binds to the MDR1 promoter at the binding consensus motif $[CC(A/T)_6GG]$ (Riggle and Kumamoto 2006; Lavoie et al. 2008). There are distinct requirements for Mcm1 in the activation of MDR1; Mcm1 is dispensable for induction of MDR1 in response to oxidative stress or CAP1 gain-of-function mutation, but is required for full induction of *MDR1* in response to benomyl or MRR1 gain-of-function mutation (Mogavero et al. 2011). Ndt80 is similar to a meiosis-specific transcription factor in S. cerevisiae, but regulates CDR1 expression (Chen et al. 2004). Ndt80 also binds other transporter genes, including CDR2, CDR4, orf19.4531 MDR1, and FLU1 (Sellam et al. 2009b) as well as genes, such as ERG11, that encode ergosterol biosynthesis enzymes.

There are additional negative regulators of MDR. *C. albicans REP1* (for regulator of efflux

pump 1) has similarity with NDT80, and its deletion causes up-regulation of MDR1 expression in response to 4-nitroquinoline-N-oxide (Chen et al. 2009). FCR1 (for fluconazole resistance) was cloned in a S. cerevisiae screen for azole resistance (Talibi and Raymond 1999). However, C. albicans FCR1 appears to have a different function, as deletion of CaFCR1 enables the emergence of azole resistance (Shen et al. 2007). In C. glabrata, the Zn₂Cys₆ transcription factor Stb5 represses expression of ABC transporter genes including CgCDR1 and CgCDR2 (Noble et al. 2013). In S. cerevisiae Sbt5 and Pdr1 form a heterodimer (Akache et al. 2004), as do Pdr1 and Pdr3 (Mamnun et al. 2002).

Chromosomal Abnormalities

Multiple genomic alterations have been associated with azole resistance, including loss of heterozygosity (LOH) of specific genomic regions, increase of chromosome copy number, as well as segmental or chromosomal aneuploidies. LOH is common for regions containing azoleresistance determinants including ERG11, TAC1, or MRR1. Analysis of sequential C. albicans isolates that evolved resistance in patients revealed that mutations in these genes often arise in the heterozygous state and become homozygous by LOH (Coste et al. 2007; Selmecki et al. 2010). Larger-scale changes of chromosomes harboring azole-resistance determinants have also been associated with resistance in C. albicans. ERG11 and TAC1 reside on the left arm of chromosome 5, thus the formation of isochromosome i(5L) increases their copy number and confers resistance (Selmecki et al. 2006). Variant forms of i(5L) exist, including one that incorporates the region of the chromosome 3 right arm that contains CDR1 and MRR1 (Selmecki et al. 2009), thus facilitating resistance development. The prevalence of aneuploidies in azole-resistant isolates raised the question of whether azole exposure simply selects for the more resistant aneuploid variants or whether azole exposure contributes to the generation of aneuploidies. Consistent with the latter possibility, azole exposure was found

to cause aberrant cell cycle regulation in *C. albicans* with a tetraploid intermediate preceding aneuploidy formation (Harrison et al. 2014).

Chromosomal alterations have also been associated with resistance in C. glabrata and C. neoformans. C. glabrata can acquire azole resistance by increasing the copy number of ERG11 (Marichal et al. 1997). Further, the formation of segmental aneuploidies and novel chromosome configurations has been identified in azole-resistant isolates of C. glabrata (Poláková et al. 2009). For C. neoformans, azole resistance is associated with specific chromosome alterations, especially disomies of chromosomes 1 and 4. Chromosome 1 contains two azole-resistance determinants, the azole target gene ERG11 and ABC transporter gene *AFR1* (Sionov et al. 2010). Alteration in copy number of these chromosomes also relates to the phenomenon of heteroresistance, in which subpopulations within the same clone vary in resistance based on the frequent loss and gain of chromosomes in response to selection (Sionov et al. 2010, 2013).

Mitochondrial Defects

The relationship between mitochondrial dysfunction and azole resistance is based mainly on the observation that both S. cerevisiae and C. glabrata can survive partial or complete loss of mitochondrial DNA (formation of "petite" mutants) (Gulshan and Moye-Rowley 2007). Petite mutants are intrinsically resistant to azoles owing to up-regulation of transcriptional activators (PDR3 in S. cerevisiae; CgPDR1 in C. glabrata) and their target genes (Ferrari et al. 2011a). For C. glabrata, in vitro exposure to fluconazole can give rise to mitochondrial mutants at high frequency (Sanglard et al. 2001; Brun et al. 2003, 2004). Selection of these mutants in the clinical context is much less frequent (Bouchara et al. 2000; Ferrari et al. 2011a). Although some C. glabrata clinical isolates with mitochondrial dysfunction do not show fitness defects compared with wild-type parents in a mouse infection model (Ferrari et al. 2011a), other C. glabrata petite mutants are avirulent (Singh et al. 2009; Singh-Babak et al. 2012). C. albicans cannot undergo loss of mitochon-

drial DNA and therefore is considered a "petitenegative" species. However, pharmacological inhibition of mitochondrial complexes involved in respiration and energy production results in higher susceptibility to azoles in this species (Sun et al. 2013). The findings that mitochondrial defects are associated with azole resistance in *C. glabrata*, but susceptibility in *C. albicans*, suggests complex functional relationships between the azoles activity and mitochondrial function in fungal pathogens.

Resistance Acquisition through Multiple Mechanisms

The emergence of azole resistance is often associated with stepwise increases in resistance over time. This has been documented in sequential isolates from patients before and after antifungal treatment (Sanglard et al. 1995, 1998a,b; White et al. 1998; Lopez-Ribot et al. 1999; Marr et al. 2001; Perea et al. 2001; Martinez et al. 2002). Stepwise acquisition of resistance involves multiple mechanisms. Molecular epidemiology of azole resistance in a large population of C. albicans suggests that CDR1/CDR2 up-regulation is more prevalent than ERG11 or MDR1 up-regulation, with combinations of CDR1/CDR2 up-regulation and ERG11 alterations as the most common (Goldman et al. 2004; Park and Perlin 2005; Coste et al. 2007; Siikala et al. 2010; Flowers et al. 2012). Although CDR1/CDR2 and MDR1 up-regulation can be explained by TAC1 and MRR1 GOF mutations, ERG11 up-regulation is not always associated with UPC2 GOF mutations, implicating additional regulators (Flowers et al. 2012). The contribution of individual mutations to resistance of a clinical isolate was recently dissected by sequential replacement of mutated alleles (TAC1/ERG11) with wild-type alleles, confirming the functional importance of each mutation (MacCallum et al. 2010). In addition to the sequential acquisition of mutations, larger-scale genomic alterations, such as LOH and changes in copy number of azole-resistance genes, can occur during the evolution of high-level azole resistance in clinical isolates (Selmecki et al. 2006). In contrast to the prevalence of stepwise increases in resistance caused by multiple mechanisms in *C. albicans*, high-level resistance of *C. glabrata* often occurs in a single step via GOF mutations in *CgPDR1* (Ferrari et al. 2009).

Biofilms

Biofilms are one of the most prevalent forms of microbial growth in nature, and Candida species are among the most common etiologic agents of biofilm infections (Kumamoto 2002; Ramage et al. 2009), although other yeasts and filamentous fungi are important biofilm producers as well (Ramage et al. 2009). Biofilms display an organized three-dimensional structure comprised of a dense network of yeast and filamentous cells embedded in an exopolymeric matrix consisting of carbohydrates, proteins, and nucleic acids. The matrix is a major feature that distinguishes biofilms from planktonic cells. Candida biofilms are intrinsically resistant to azoles, and the mechanisms are multifactorial, involving induction of drug efflux transporters and drug sequestration within the extensive matrix structure (Kumamoto 2002; Mukherjee et al. 2003; Chandra et al. 2005; Ramage et al. 2009; Fanning and Mitchell 2012). As with planktonic C. albicans, active drug efflux can be induced by up-regulation of CDR and MDR genes (Ramage et al. 2002; Mukherjee et al. 2003). However, drug sequestration within the extracellular matrix is the largest determinant of the multidrug-resistance phenotype (Nett et al. 2010a). Matrix production is highly regulated and is a key resistance factor for Candida spp., including C. albicans, C. glabrata, C. parapsilosis, C. tropicalis, and C. dubliniensis (Silva et al. 2009). A key biofilm constituent is β -1,3-glucan, which is produced by glucan synthase. Downstream components of the yeast PKC pathway, including Smi1, Rlm1, Rho1, and Fsk1, regulate β -1,3-glucan biosynthesis and biofilm matrix production (Nett et al. 2010a,b, 2011; Desai et al. 2013). Other cellular proteins, such as the transcription factor Zap1, alcohol dehydrogenases Adh5, Csh1, and Ifd6, as well as glucoamylases, CaGca1 and CaGca2, also affect matrix production and resistance phenotypes (Nobile et al. 2009).

Hsp90 and Related Factors

Cellular stress-response circuitry provides a critical strategy for fungi to survive the cell membrane stress induced by exposure to azoles. This circuitry enables basal tolerance to azoles, as well as resistance that was acquired by diverse mechanisms (Cowen and Steinbach 2008; Shapiro et al. 2011). A leading example of a global cellular regulator that governs stress responses crucial for azole tolerance and resistance is the molecular chaperone Hsp90 (Cowen 2008, 2009, 2013). Hsp90 is a conserved and essential chaperone that regulates cellular signaling by stabilizing a myriad of client proteins, many of which are signal transducers (Taipale et al. 2010). Compromise of Hsp90 function genetically or pharmacologically reduces tolerance of Candida species to azoles (Cowen et al. 2009). Inhibition of Hsp90 can also block the evolution of azole resistance, and abrogate resistance caused by the loss of function of Erg3 or resistance that evolved in a human host by multiple mechanisms (Cowen and Lindquist 2005; Cowen et al. 2006). In addition to its role in azole resistance of planktonic cells, Hsp90 also regulates azole resistance of Candida biofilms (Robbins et al. 2011). The circuitry through which Hsp90 regulates drug resistance is best studied in C. albicans planktonic cells, where the key client proteins are calcineurin and Mkc1. Calcineurin is a protein phosphatase that is required to survive the cell membrane stress induced by azoles (Cruz et al. 2002; Sanglard et al. 2003; Steinbach et al. 2007). Hsp90 physically interacts with and stabilizes the catalytic subunit of calcineurin, such that depletion of Hsp90 leads to depletion of calcineurin (Singh et al. 2009). Hsp90 also stabilizes the terminal mitogen activated protein kinase (MAPK) of the cell wall integrity pathway, Mkc1, thereby modulating additional responses to azole-induced cell membrane stress (LaFayette et al. 2010). Although calcineurin and Mkc1 are also implicated in azole resistance of C. albicans biofilms, depletion of Hsp90 does not affect their stability in this cellular state (Robbins et al. 2011). Within biofilms, depletion of Hsp90 reduces matrix glucan levels, thereby reducing azole resistance

(Robbins et al. 2011). Inhibition of Hsp90 function with drugs that are well tolerated in humans enhances the efficacy of azoles against resistant Candida strains in infection models including the invertebrate Galleria mellonella, as well as the rat central venous catheter model of biofilm infection, in which the infection and drug delivery are localized (Cowen et al. 2009; Robbins et al. 2011). Toxicity of Hsp90 inhibitors has been observed when in the context of mouse models of disseminated Candida infection, motivating the search for fungal selective Hsp90 inhibitors or inhibitors that target components of the Hsp90 chaperone network that are more divergent between pathogen and host (Cowen 2009).

Recent studies have revealed upstream regulators of Hsp90 function with a profound impact on azole resistance. Hsp90 function is regulated by cochaperones and a complex code of posttranslational modifications (Taipale et al. 2010). The first analysis of an Hsp90 cochaperone in a fungal pathogen revealed that Sgt1 physically interacts with Hsp90 in C. albicans, and that depletion of Sgt1 abrogates azole tolerance and resistance (Shapiro et al. 2012). Hsp90 function was also found to be regulated by acetylation such that pharmacological inhibition of lysine deacylases phenocopies inhibition of Hsp90, blocking the evolution of azole resistance and reducing resistance of C. albicans that evolved in a human host (Robbins et al. 2012). Regulation of Hsp90 function by acetylation is conserved in A. fumgiatus, in which the key residue for azole and echinocandin resistance is K27 (Lamoth et al. 2014b). In S. cerevisiae, the key lysine deacetylases that modulate Hsp90 function are Hda1 and Rpd3 (Robbins et al. 2012), although the relevant players remain enigmatic in other fungi.

mRNA Stability

An additional mechanism that contributes to azole resistance is enhanced mRNA stability. This has been studied mostly in the context of *CDR1* expression, encoding a multidrug efflux transporter in *C. albicans*. Analysis of two matched pairs of azole-susceptible and resistant

isolates revealed that the half-life of CDR1 mRNA was threefold higher in resistant isolates compared with their susceptible counterparts (Manoharlal et al. 2008). The polyA tail of CDR1 mRNA was also \sim 35% – 50% hyperadenylated in the resistant isolates (Manoharlal et al. 2008). mRNA adenylation is controlled by poly(A) polymerase (Pap1), which is encoded by two distinct alleles in close proximity to the mating type locus. Whereas the susceptible isolates were heterozygous for PAP1, the resistant isolates were homozygous for the *PAP1-\alpha* allele (Manoharlal et al. 2010). Pap1-a has a repressive effect on CDR1 transcript polyadenylation and stability, such that $PAP1-\alpha$ homozygosity contributes to azole resistance (Manoharlal et al. 2010). Together, this reinforces the importance elevated of Cdr1 levels for drug resistance, which can be achieved by transcriptional up-regulation as well as enhanced mRNA stability.

MECHANISMS OF ECHINOCANDIN RESISTANCE

FKS Mechanism of Resistance

Echinocandin resistance resulting in clinical failures is conferred by limited amino acid substitutions in the Fks subunits of glucan synthase (Perlin 2011a). Unlike azole antifungal agents, resistance to echinocandins is largely unaffected by multidrug transporters (Bachmann et al. 2003; Niimi et al. 2006); this is consistent with the model that echinocandins may function at the outer membrane (Healey et al. 2012; Johnson and Edlind 2012). In C. albicans and most other Candida spp., resistance mutations occur in two highly conserved "hot-spot" regions of FKS1 (Garcia-Effron et al. 2009b; Katiyar and Edlind 2009; Johnson et al. 2011), encompassing residues Phe641-Pro649 and Arg1361 (C. albicans equivalent) (Park et al. 2005), and/or equivalent regions of FKS2 in C. glabrata (Katiyar et al. 2006; Garcia-Effron et al. 2009a). The amino acid substitutions decrease sensitivity of glucan synthase to drug by 50- to 3000-fold (Katiyar et al. 2006; Garcia-Effron et al. 2009a,b), and elevate MIC values 5- to 100-fold. For C. albicans, amino acid changes at Ser645 are

the most frequent and cause the most pronounced resistance phenotype (Garcia-Effron et al. 2009a,b; Perlin 2011a,b). In C. glabrata, comparable mutations conferring resistance occur in both FKS1 and FKS2. Changes at Fks2 Ser663 (equivalent to C. albicans Ser645) in Fks2 are the most prominent amino acid substitution (>50%) (Garcia-Effron et al. 2009a). Other substitutions encountered in clinical failures include Ser629 in Fks1 and Phe659 in Fks2. Consistent with the clinical experience, substitutions at positions Ser641 and Ser645 in C. albicans and equivalent positions in C. glabrata show poor drug response in pharmacodynamic studies of murine infection models (Howard et al. 2011; Slater et al. 2011; Wiederhold et al. 2011; Arendrup et al. 2012). Other hot-spot mutations may confer phenotypic resistance, but escalating drug doses are more effective against resistant strains harboring such mutations (Arendrup et al. 2012). The echinocandin-resistance level conferred by hot-spot mutations in FKS1 or FKS2 may also depend on the relative expression of these genes, which can vary more than 20-fold (Garcia-Effron et al. 2009a; Katiyar et al. 2012). FKS2 expression is calcineurin dependent and down-regulated by FK506 (tacrolimus) (Eng et al. 1994). Resistance conferred by FKS2 but not FKS1 is reversed following treatment with the calcineurin inhibitor FK506 (Katiyar et al. 2012). Recently, a third hot-spot region was identified that confers in vitro resistance, and is defined by W695 in S. cerevisiae Fks1 and equivalent residues F695 and W760 from Scedosporium species and Schizosaccharomyces pombe, respectively; the equivalent substitution in C. glabrata also has a role in resistance (Johnson et al. 2011). Notably, amino acid substitutions in Fks1 of C. albicans often confer reduced fitness (Garcia-Effron et al. 2009a; Katiyar et al. 2012), as they are associated with a decreased catalytic reaction rate for glucan biosynthesis (Garcia-Effron et al. 2009a,b), resulting in altered cell wall morphology (Ben-Ami et al. 2011). A consequence of reduced fitness is that echinocandinresistant strains compete poorly with their wildtype counterpart (Ben-Ami et al. 2011), which may explain why resistant strains are rarely transmitted between patients.

Hot-Spot Polymorphisms and Inherent Reduced Susceptibility

The C. parapsilosis complex (C. parapsilosis sensu stricto, Candida orthopsilosis, and Candida metapsilosis) and Candida guilliermondii display higher echinocandin MIC values relative to other susceptible Candida species (Pfaller et al. 2008a, 2010; Tortorano et al. 2013). The mechanism underlying reduced echinocandin susceptibility involves naturally occurring polymorphisms in FKS hot-spot regions (Garcia-Effron et al. 2008). In the C. parapsilosis complex, the highly conserved Pro660 at the distal edge of hot spot 1 is present as an alanine. Kinetic inhibition studies showed that glucan synthase from the C. parapsilosis group was 1-2 logs less sensitive to echinocandins than typical enzymes from C. albicans. Strains of C. albicans and C. glabrata, as well as engineered strains of S. cerevisiae that harbor amino acid substitutions at this position show decreases in target enzyme sensitivity and increased MIC values (Garcia-Effron et al. 2008). Overall, it appears that naturally occurring Fks1 polymorphisms in hot-spot regions of non-albicans Candida spp. and other fungi contribute to reduced susceptibility (Fig. 2).

Biofilms

As with azole resistance, the glucan matrix sequesters echinocandin drugs, preventing them from reaching the cell membrane. Disruption of this process by genetic or chemical modification of β -1,3-glucan synthase decreases drug sequestration in the matrix, rendering biofilms susceptible to antifungal agents (Desai et al. 2013). This mechanism accounts for a large fraction of the drug-resistance phenotype during biofilm growth (Nett et al. 2011), and contributes to the formation of a subpopulation of persister cells that are drug resistant. The cell wall biosynthesis protein Smi1 has been implicated in biofilm matrix glucan production and the development of drug resistance; it acts through the transcription factor Rlm1 and glucan synthase Fks1. Smi1 functions in conjunction with Rlm1 and Fks1 to produce drug-sequestering biofilm β -glucan (Desai et al. 2013).

Adaptive Cellular Factors

Fungi possess adaptive cellular factors that confer protection against cellular stresses, such as those encountered on inhibition of glucan synthase by echinocandins (Perlin 2007; Walker et al. 2010). These stress adaptation responses may result in elevated in vitro MIC values to echinocandins, but they are not typically associated with clinical failures (Kartsonis et al. 2005; Pfaller et al. 2008b). However, drug tolerance may be an important intermediate stage for development of FKS-mediated resistance as it enables a larger population of surviving cells in response to drug exposure that can respond to selection and evolve resistance. Consistent with this model, fungal stress tolerance pathways including cell wall integrity, protein kinase C (PKC), Ca²⁺/calcineurin/Crz1, and high osmolarity glycerol (HOG) cascades enable survival of echinocandin-induced stress by controlling compensatory up-regulation of chitin synthesis (Munro et al. 2007; Walker et al. 2008), and constitutive up-regulation of chitin is associated with echinocandin resistance caused by FKS1 mutations (Walker et al. 2013). Also, changes in the cell membrane can alter echinocandin action. For some laboratory and clinical isolates, defects in sphingolipid biosynthesis lead to a mixed phenotype in which strains are resistant to caspofungin and hypersensitive to micafungin (Healey et al. 2011, 2012); the opposing effects on susceptibility to the two echinocandins led to the model that the altered sphingolipid composition of the plasma membrane weakens the interaction between Fks and caspofungin while strengthening the interaction between Fks and micafungin.

Chitin Synthesis

As alluded to above, increased chitin synthesis has emerged as an important mechanism enabling fungal cells to survive echinocandin exposure, with implications for resistance. Exposure of *C. albicans* to low levels of echinocandins



Figure 2. Mechanisms of resistance to antifungal drugs that target the cell wall—the echinocandins. (*A*) Mutations in *FKS1*, which encodes the catalytic subunit of the echinocandin target (1,3)- β -D-glucan synthase, are the most prevalent cause of echinocandin resistance. Cellular stress-response pathways modulate resistance phenotypes. Bullet points describe resistance mechanisms for *C. albicans* and *A. fumigatus*. Dimmed images represent mechanisms that do not play a key role in resistance. (*B*) Distribution of acquired mutations and naturally occurring polymorphisms within *FKS* genes conferring reduced echinocandin susceptibility. (From Cowen 2008; adapted, with permission, from Macmillan © 2008, and from Perlin 2007; adapted, with permission, from Elsevier © 2007.)

induces chitin synthase gene expression, and leads to elevated chitin content and reduced echinocandin efficacy (Walker et al. 2008). This compensatory increase in chitin is coordinated by the PKC, HOG, and calcineurin signaling pathways (Munro et al. 2007). Activation of two of the chitin synthases, Chs2 and Chs8, enables survival of otherwise lethal doses of echinocandins (Walker et al. 2008). Elevated chitin content following echinocandin exposure is also observed in *C. tropicalis, C. parapsilosis*, and *Candida guillermondii*, in addition

to some C. krusei isolates (Walker et al. 2013). In C. glabrata, the terminal MAPK of the PKC signaling pathway, Slt2, controls chitin increase in response to echinocandins (Cota et al. 2008). Echinocandin resistance attributed to mutations in FKS1 is associated with elevated chitin levels and the absence of compensatory increases in chitin on echinocandin exposure (Walker et al. 2013). Increased cell wall chitin also confers reduced susceptibility to echinocandins in a mouse model of systemic C. albicans infection (Lee et al. 2012). The importance of chitin synthesis for surviving echinocandin exposure extends beyond Candida species to Aspergillus. In A. fumigatus, deletion of chitin synthesis genes CSMA and CSMB has little impact on overall cell wall chitin content, but leads to disorganized cell wall structure and increased susceptibility to echinocandins (Jimenez-Ortigosa et al. 2012). Consistent with the importance for chitin synthesis in responses to echinocandins, chitin synthesis inhibitors have synergistic activity with echinocandins (Walker et al. 2008). These responses also have been invoked to explain the "paradoxical growth effect," which refers to growth of echinocandin susceptible fungi at highly elevated drug concentrations, vastly exceeding the MIC (Stevens et al. 2004). For example, one C. albicans strain undergoing paradoxical growth showed a 900% increase in chitin content (Clemons et al. 2006). The paradoxical effect is eliminated by chitin synthase inhibitor nikkomycin Z and calcineurin inhibitors (Shields et al. 2011).

Hsp90

Hsp90 orchestrates cellular stress-response circuitry that has a profound impact not only on azole resistance, but also on echinocandin resistance. Genetic or pharmacological compromise of Hsp90 function reduces basal echinocandin tolerance and resistance of *C. albicans, C. glabrata*, and *A. fumigatus* (Cowen and Lindquist 2005; Cowen 2009; Cowen et al. 2009; Singh et al. 2009; Singh-Babak et al. 2012; Lamoth et al. 2014a). Inhibition of Hsp90 reduces echinocandin resistance acquired by mutation in the drug target, and resistance that evolved in the human host (Singh et al. 2009; Singh-Babak et al. 2012). Hsp90 affects resistance to echinocandins through its client proteins calcineurin and Mkc1 (Singh et al. 2009; LaFayette et al. 2010). The Hsp90 cochaperone Sgt1 is also required for echinocandin tolerance and resistance acquired by mutation in the drug target (Shapiro et al. 2012). Hsp90 inhibitors in clinical development for cancer enhance the efficacy of echinocandins against A. fumigatus in the G. mellonella model of pathogenesis (Cowen et al. 2009), and genetic reduction of Hsp90 levels enhances the efficacy of echinocandins against C. albicans in murine models of systemic infection (Singh et al. 2009). Collectively, these studies suggest a broad therapeutic potential of targeting Hsp90 in enhancing the efficacy of the two leading classes of antifungal drugs against diverse fungal pathogens.

Cell Integrity Circuit

Cell wall integrity signaling is regulated by Hsp90 and is crucial for chitin synthesis, with profound effects on echinocandin tolerance and resistance. In S. cerevisiae, exposure to echinocandins induces genes from the PKC cell wall integrity-signaling pathway (Reinoso-Martin et al. 2003). Deletion of genes encoding cell wall integrity components, such as WSC1, PKC1, BCK1, and SLT2, confers hypersusceptibility to echinocandins (Reinoso-Martin et al. 2003; Markovich et al. 2004). Defects in cell wall integrity, such as those induced by the echinocandins, are sensed by transmembrane proteins of the Wsc family and Mid2, which then activate the GTPase Rho1. Rho1 positively regulates multiple effectors including Pkc1, as well as the echinocandin targets Fks1 and Fks2 (Shapiro et al. 2011). In C. albicans, the cell integrity circuitry is also implicated in mediating crucial responses to the cell wall stress induced by echinocandins. The terminal MAPK of the C. albicans PKC pathway, Mkc1, is induced by echinocandins and is required for basal tolerance (Wiederhold et al. 2005; LaFayette et al. 2010). Consistent with the importance of cell wall integrity signaling in echinocandin resistance, a potent and selective fungal Pkc1 kinase inhibi-

tor is synergistic with echinocandins against *C. albicans* (Sussman et al. 2004). The broadspectrum protein kinase inhibitor staurosporine is also synergistic with echinocandins against *Candida* and *Aspergillus* (Markovich et al. 2004; LaFayette et al. 2010). The cell wall integrity circuitry is not only crucial for responses to cell wall stress induced by echinocandins, but it is also required for cell membrane stress induced by azoles (LaFayette et al. 2010), highlighting its central role in mediating antifungal drug resistance.

CONCLUDING REMARKS

Antifungal therapy continues to be an important element of patient management for fungal diseases, and the development of both monoresistant and multidrug-resistant strains will be a challenge for the medical community. The mechanisms contributing to azole and echinocandin resistance are now well characterized and include reducing drug-target interactions either by modifying the target (drug affinity changes or target abundance) or by reducing the effective cellular content of drug (ABC or MFS drug pumps, biofilm glucan trap). C. albicans shows a full complement of resistance mechanisms, yet not all mechanisms are present in all fungal strains despite the genetic potential. Much progress has been made in understanding the importance of biofilms, as they convert normally susceptible planktonic cells into highly resistant cell communities. Similarly, a great deal has been learned about the genetic regulatory elements that influence overexpression of ERG11 and FKS genes, as well as ABC and MFS transporters. Fungal cells are dynamic and adapt readily to environmental challenges. Compensatory responses involving enhanced chitin biosynthesis following inhibition of glucan biosynthesis by echinocandin action helps maintain cell wall integrity. Critical cellular factors, such as Hsp90, stabilize enzymes during stress, thereby promoting cell survival. All components contribute to the development of cells, which transiently adapt to drug exposure with the potential to more fully breakthrough therapy by induction of more permanent resistance mechanisms. A detailed understanding of the principal resistance mechanisms and the factors that contribute to their evolution is important for developing new diagnostic approaches to more easily identify drug resistance and create new strategies for therapeutic intervention that can prevent and overcome resistance.

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Mechanisms of Antifungal Drug Resistance

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