

Adaptive Control for Pneumatic Artificial Muscle Systems With Parametric Uncertainties and Unidirectional Input Constraints

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Abstract—Pneumatic artificial muscle (PAM) systems are a kind of tube-like actuators, which can act roughly like human muscles by performing contractile or extensional motions actuated by pressurized air. At present, it is still an open and challenging issue to tackle positioning and tracking control problems of PAM systems, due to inherent characteristics, e.g., unidirectional inputs, high nonlinearities, hysteresis, time-varying characteristics, etc. In this paper, a new adaptive control method is proposed for PAM systems, which achieves satisfactory tracking performance. To this end, an update law is designed to estimate unknown system parameters online. Also, some control input transforming operations are applied to address unidirectional constraints (i.e., control inputs of PAM systems should always be positive). As far as we know, compared with most of the existing control methods, this paper gives the *first continuous control solution for PAM systems that can simultaneously compensate parametric uncertainties, reject external disturbances, and meet unidirectional constraints. Without linearizing the nonlinear dynamics, the closed-loop system is theoretically proven to be asymptotically stable at the equilibrium point with the stability analysis. In addition, a series of hardware experiments are implemented on a self-built hardware platform, indicating that the proposed method achieves satisfactory tracking control and exhibits robustness against parametric uncertainties and disturbances.*

Index Terms—Lyapunov techniques, mechatronics, pneumatic artificial muscle (PAM) systems, unidirectional input constraint.

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I. INTRODUCTION

IN THE field of modern industry, various mechatronic systems with nonlinear characteristics [1]–[6] are widely used. Pneumatic artificial muscle (PAM) systems are a special kind of nonlinear systems, which can contract or extend like real human muscles by inflating and deflating pressurized air through servo valves [7]. The special working principle of PAM systems brings many advantages for applications, e.g., flexible tubular structures, lightweight materials, high power-to-weight/volume ratios, clean power, etc. [7]–[12]. Based on these merits, PAM systems are mainly applied to micromanipulation robots and biomimetic rehabilitation robots. However, the force generated by pressurized air inside PAM systems also brings complicated inherent characteristics, such as high nonlinearities, complex hysteresis, and time-varying characteristics, which make the control issues challenging and nontrivial.

At present, there mainly exist two types of models to depict PAM systems' dynamic characteristics: the theoretical model [13]–[16] and the phenomenological model [17]–[21]. The theoretical model is strongly related to geometric structures and material properties of PAM systems, which can be obtained by using some methods of mathematical physics (e.g., the virtual work principle, the force-balance principle, etc.). Hence, the theoretical model is complicated and contains a number of system parameters [13]–[16]. In contrast, the phenomenological model of PAM systems mainly analyzes the relationship between system inputs and outputs; commonly, the phenomenological model consists of two kinds of models: the Colbrunn model [17] and the Reynolds model [18]. In particular, the Reynolds model is the most commonly used phenomenological model, which is established by the Voigt viscoelastic model, and considers that a PAM system consists of three parallel elements, i.e., a spring element, a damping element, and a contractile element [18]–[21].

Based on both theoretical and phenomenological models of PAM systems, in order to achieve the control objective of positioning tracking, some feedback control strategies are proposed in the literature [21]–[23]. Specifically, Merola *et al.* combine a proportional-integral-derivative (PID) control scheme for PAM systems with a feedforward term to track reference trajectories [21]. Furthermore, Minh *et al.* propose a feedforward path of a cascade position control scheme for PAM systems with

hysteresis compensation [23]. Additionally, in practical applications, PAM systems usually suffer from external disturbances and uncertainties (e.g., unknown parameters, unmodeled uncertainties, etc.), which may degrade the control performance during real-time tracking control. In order to deal with the uncertainties and improve the robustness of PAM systems, some control methods are developed, such as fuzzy logic controllers, adaptive methods, and sliding-mode strategies [24]–[29]. More specifically, Chen and Shih design a visual fuzzy control method for automatic manipulation systems with PAM systems under the microscope vision [24]. Zhang *et al.* develop an active model-based control scheme to identify the system parameters and achieve position tracking control by using a nonlinear PID scheme [25]. In addition, an integrated intelligent nonlinear control scheme is proposed for PAM systems, combined with sliding-mode and backstepping techniques [26].

Nevertheless, the study of PAM systems is still at an early stage, and there still exist many nontrivial and open control issues to be addressed.

- 1) Practically, in the presence of nonlinear time-varying characteristics, it is usually difficult to obtain accurate real-time model parameters of PAM systems, especially when the air pressure changes during the entire control process.
- 2) Also, PAM systems usually suffer from uncertainties and external disturbances, which degrade the control performance.
- 3) Furthermore, when the pressurized air enters inner rubber tubes of PAM systems, the “muscle” contracts along the longitudinal direction; in other words, the pressurized air only provides contractile forces through the inflation process, and the “muscle” tends to extend to its original states naturally during the deflation process. It means that the control input (corresponding to the pressurized air) of PAM systems is constrained to be *nonnegative*. However, there is no reported control-related works of PAM systems, which consider unidirectional input constraints in this paper.

To handle the abovementioned important issues, in this paper, an adaptive control method is proposed for PAM systems, which achieves satisfactory tracking performance and can effectively deal with the influence induced by parametric uncertainties and external disturbances. First, unidirectional constraints are considered and “removed.” Then, an adaptive update law is designed to compensate unknown parameters by online estimation. Furthermore, a *continuous* robust controller is proposed on the basis of the estimated system parameters to track various reference trajectories. Compared with traditional sliding-mode controllers, the chattering problem is avoided by elaborately designing a continuous control input. In addition, the stability analysis is provided in detail. The main contributions of this paper can be summarized as follows.

- 1) The proposed adaptive controller can achieve fast and accurate positioning with satisfactory tracking performance for PAM systems, which can *simultaneously* take into consideration several practical problems, such as parametric uncertainties, external disturbances, physical

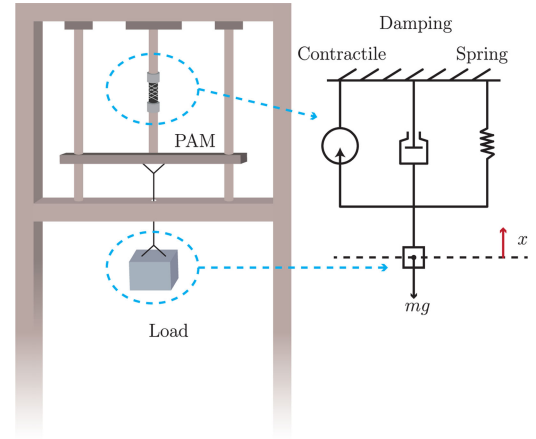


Fig. 1. Model of PAM systems.

constraints, etc. It indicates that the proposed method guarantees satisfactory robustness and can adapt to some different unfavorable working conditions.

- 2) By elaborated design, the dual requirements of continuity (in control inputs) and robustness are respected, and the proposed controller has a continuous expression, which is smoother than traditional sliding-mode control, and consequently, the generated control commands are easier to execute. Moreover, for both controller design and stability analysis, *no* linearization operations to the nonlinear dynamics are needed, which is theoretically important.
- 3) The Lyapunov-based stability analysis is implemented to theoretically support the proposed controller, and moreover, a series of hardware experiments are carried out to practically validate its satisfactory performance.

The rest of this paper is organized as follows. First, in Section II, based on the three-element model of PAM systems, some transforming operations are given. Second, the entire control method design process is provided in detail in Section III. Furthermore, in Section IV, the Lyapunov-based stability analysis is provided. Furthermore, hardware experiments are implemented on a self-built hardware platform in Section V. Finally Section VI concludes this paper.

II. PROBLEM FORMULATION

The model of PAM systems is shown in Fig. 1, and precisely, Fig. 2 shows the contractile/extensional motions of the “muscle” when inflating/deflating pressurized air. The control objective is to actuate PAM systems to track various reference trajectories, so as to further complete actuating tasks, in the presence of complicated inherent PAM systems’ dynamic characteristics, external disturbances, and parametric uncertainties. First, the three-element model of PAM systems is given as follows [25]:

$$m\ddot{x} + (d_1 p_m + d_0)\dot{x} + (s_{11} p_m + s_{10})x + (s_{21} p_m + s_{20})x^2 = f_{c1} p_m + f_{c0} - mg \quad (1)$$

where m , g , $p_m(t)$, and $x(t)$ denote the load mass, the gravitational acceleration, the air pressure, and the length variation (corresponds to the load displacement), respectively, d_1 and d_0



Fig. 2. Contractile and extensional motions of PAM systems.

are damping coefficients, s_{11} , s_{10} , s_{21} , and s_{20} are second-order spring model-related coefficients, and f_{c1} and f_{c0} are muscle contractile force coefficients. Precisely, the air pressure inside PAM systems can be expressed as

$$p_m = p_0 + p \quad (2)$$

where p_0 is the nominal atmospheric pressure and $p(t)$ is the pressure of the injected air. Actually, the values of m and f_{c1} in (1) *cannot* be obtained precisely, which can be expressed as follows:

$$m = m_0 + \Delta m, \quad f_{c1} = f_{ce} + \Delta f_{c1} \quad (3)$$

where m_0 and Δm are the nominal mass and the uncertain part of m , respectively, and f_{ce} and Δf_{c1} represent the nominal value and the mismatch of f_{c1} , respectively. By inserting (2) and (3) into (1), the model listed in (1) can be transformed into a new form as follows:

$$\begin{aligned} (m_0 + \Delta m) \ddot{x} = & -(d_1 \dot{x} + s_{11}x + s_{21}x^2)p + f_{c1}p_0 + f_{c0} \\ & - (d_1 p_0 + d_0) \dot{x} - (s_{11}p_0 + s_{10})x \\ & - (s_{21}p_0 + s_{20})x^2 - (m_0 + \Delta m)g \\ & + (f_{ce} + \Delta f_{c1})p. \end{aligned} \quad (4)$$

Then, dividing both sides of (4) by $m_0 + \Delta m$, one has

$$\begin{aligned} \ddot{x} = & \frac{f_{c1}p_0 + f_{c0}}{m_0 + \Delta m} - g - \frac{d_1 p \dot{x} + s_{11} p x + s_{21} p x^2}{m_0 + \Delta m} \\ & - \frac{(d_1 p_0 + d_0) \dot{x} + (s_{11} p_0 + s_{10}) x + (s_{21} p_0 + s_{20}) x^2}{m_0 + \Delta m} \\ & + \frac{f_{ce} + \Delta f_{c1}}{m_0 + \Delta m} p \\ = & - \frac{(d_1 p_0 + d_0) \dot{x} + (s_{11} p_0 + s_{10}) x + (s_{21} p_0 + s_{20}) x^2}{m_0 + \Delta m} \\ & + \frac{f_{c1} p_0 + f_{c0}}{m_0 + \Delta m} + \frac{f_{ce}}{m_0} p - \left(\frac{f_{ce}}{m_0} p - \frac{f_{c1}}{m_0 + \Delta m} p \right) \\ & - g - \frac{d_1 p \dot{x} + s_{11} p x + s_{21} p x^2}{m_0 + \Delta m}. \end{aligned} \quad (5)$$

Since PAM systems are powered by the pressure of the air, the air pressure $p(t)$ is regarded as the input, which can *only* work during the inflation process; in other words, the unidirectional constraint of $p(t)$ must be respected. As we know, due to physical constraints, if the control input $p(t)$ exceeds the applicable ranges, PAM systems will fall into saturation, and the control performance will be degraded. To this end, $p(t)$ can be expressed as follows:

$$p \triangleq F(u) = \begin{cases} 0, & u \leq 0 \\ u, & 0 \leq u < u_{\max} \\ u_{\max}, & u \geq u_{\max} \end{cases} \quad (6)$$

where $u(t)$ is the control input to be designed, u_{\max} is the maximum value of $u(t)$, and $p = F(u)$ is the (ultimate) real control input working on the system. As shown in (6), we can find that, regardless of the specific expressions of $u(t)$, the air pressure $p(t)$ will always be *nonnegative*. To facilitate the subsequent controller design process, $F(u)$ is reexpressed as follows:

$$F(u) = u + \Delta u \quad (7)$$

where $\Delta u \triangleq F(u) - u$ is introduced to analyze the effects of unidirectional input constraints. Then, by inserting (7) into (5), the following equation can be derived:

$$\ddot{x} = -g + wu + (a_1 \dot{x} + a_2 x + a_3 x^2) + \xi \quad (8)$$

where

$$\begin{aligned} a_1 = & -\frac{d_1 p_0 + d_0}{m_0 + \Delta m}, \quad a_2 = -\frac{s_{11} p_0 + s_{10}}{m_0 + \Delta m} \\ a_3 = & -\frac{s_{21} p_0 + s_{20}}{m_0 + \Delta m} \\ \xi = & \frac{[f_{c1} - w(m_0 + \Delta m) - (d_1 \dot{x} + s_{11}x + s_{21}x^2)] F(u)}{m_0 + \Delta m} \\ & + \frac{w(m_0 + \Delta m) \Delta u + f_{c1} p_0 + f_{c0}}{m_0 + \Delta m} \\ w = & \frac{f_{ce}}{m_0}. \end{aligned} \quad (9)$$

To simplify the subsequent controller design, $\xi(t)$ can be considered as a lumped term of uncertain disturbances, which will be subsequently addressed by designing an elaborate control law for $u(t)$. It is not difficult to find that the mismatch term $\Delta u(t)$ is included in $\xi(t)$, which will also be analyzed by the proposed control method during the stability analysis. In (9), a_1 , a_2 , and a_3 are uncertain model parameters to be estimated subsequently.

III. CONTROLLER DESIGN

The dynamic model (8) can be transformed into the following form:

$$\begin{aligned} \ddot{x} = & -g + wu + \mathbf{q}\boldsymbol{\theta} + \xi \\ = & -g + wu + \mathbf{q}_d\boldsymbol{\theta} + \xi_1 \end{aligned} \quad (10)$$

where

$$\begin{aligned}\xi_1 &= \xi - \mathbf{q}_d \boldsymbol{\theta} + \mathbf{q} \boldsymbol{\theta}, \quad \mathbf{q} = [\dot{x}, x, x^2] \\ \mathbf{q}_d &= [\dot{x}_d, x_d, x_d^2], \quad \boldsymbol{\theta} = [a_1, a_2, a_3]^T.\end{aligned}\quad (11)$$

In (11), $x_d(t)$ is the desired reference trajectory of $x(t)$; \mathbf{q}_d is the desired regression matrix, which contains some known functions, e.g., $x_d(t)$ and $\dot{x}_d(t)$, which can be derived through offline calculations in advance to reduce online computations; $\xi_1(t)$ denotes the lumped disturbance term consisting of unknown disturbances, including uncertainties, external disturbances, etc.; as a result, modeling for external disturbances is not required. As for $x_d(t)$, $\xi_1(t)$ and their derivatives, it is practically assumed that

$$x_d, \dot{x}_d, \ddot{x}_d, \ddot{\ddot{x}}_d, x_d^{(4)}, \quad \xi_1, \dot{\xi}_1, \ddot{\xi}_1 \in \mathcal{L}_\infty. \quad (12)$$

From (12), it is indicated that the reference trajectory $x_d(t)$, the lumped disturbance $x_d(t)$, and their derivatives are bounded, which are reasonable practical assumptions [30]–[32]. It is also noted that, since the Lyapunov-based control design and analysis are conservative by nature, the proposed control method may also work well in practice even if these assumptions are not rigorously satisfied. On the basis of the transformed model (10) with unknown system parameters in the presence of external disturbances, and motivated by [33] and [34], an adaptive robust controller will be developed for PAM systems to track trajectories and deal with uncertainties and disturbances. First, to deal with unknown parameters in (10), the control law $u(t)$ is divided into two parts, $u_1(t)$ and $u_2(t)$, such that

$$u = (u_1 + u_2)/w. \quad (13)$$

Specifically, $u_1(t)$ is given as

$$u_1 = -\mathbf{q}_d \hat{\boldsymbol{\theta}} \quad (14)$$

where $\hat{\boldsymbol{\theta}}(t) = [\hat{a}_1, \hat{a}_2, \hat{a}_3]^T$ denotes the estimation of $\boldsymbol{\theta}(t)$ in (11). By inserting (13) and (14) into (10), and making some mathematical arrangements, it can be derived that

$$\ddot{x} = -g + u_2 + \mathbf{q}_d \tilde{\boldsymbol{\theta}} + \xi_1 \quad (15)$$

where $\tilde{\boldsymbol{\theta}} = \boldsymbol{\theta} - \hat{\boldsymbol{\theta}}$. By increasing the order of (15), the third-order time derivative of $x(t)$ can be obtained as follows:

$$\ddot{\ddot{x}} = \dot{u}_2 + \dot{\mathbf{q}}_d \tilde{\boldsymbol{\theta}} + \mathbf{q}_d \dot{\tilde{\boldsymbol{\theta}}} + \dot{\xi}_1. \quad (16)$$

Based on the augmented dynamic model in (16), the derivative of $u_2(t)$, i.e., $\dot{u}_2(t)$, is regarded as a virtual input to be further designed. The control objective is to make $x(t)$ track the desired trajectory $x_d(t)$, in the presence of unidirectional control inputs, parametric uncertainties, and disturbances.

First, the tracking error is defined as follows:

$$e \triangleq x - x_d. \quad (17)$$

By inserting (16) into (17) and making some arrangements, it can be obtained that

$$\ddot{e} = \ddot{x} - \ddot{x}_d = \dot{u}_2 + \dot{\mathbf{q}}_d \tilde{\boldsymbol{\theta}} + \mathbf{q}_d \dot{\tilde{\boldsymbol{\theta}}} + \dot{\xi}_1 - \ddot{x}_d. \quad (18)$$

To prevent the *unmeasurable* acceleration terms from being injected into $\dot{u}_2(t)$, and to facilitate the subsequent controller design and the theoretical analysis, we also define some auxiliary error signals as follows:

$$\begin{aligned}e_1 &= \dot{e} + \lambda_1 e, \quad \dot{e}_2 = -\lambda_2 e_2 + e_3 \\ \dot{e}_3 &= -e_3 - (k_0 + 1)r_2 + e_1 - e_2\end{aligned}\quad (19)$$

where λ_1, λ_2 , and k_0 are positive constants, and $r_2(t)$ is an auxiliary signal to be further defined. Then, based on (19), the following auxiliary signals are provided:

$$r_1 = e_1 + e_2, \quad r_2 = \dot{r}_1 + \lambda_2 r_1 = \dot{e}_1 + \lambda_2 e_1 + e_3. \quad (20)$$

Based on (20), the update law in (14) can be designed as follows:

$$\dot{\tilde{\boldsymbol{\theta}}} = \beta \dot{\mathbf{q}}_d^T r_2 \quad (21)$$

where $\beta \in \mathbb{R}^{3 \times 3}$ is a positive-definite constant diagonal matrix. Furthermore, by taking the derivative of $r_2(t)$ in (20), and inserting (18) and (19), the signal $\dot{r}_2(t)$ can be derived as

$$\begin{aligned}\dot{r}_2 &= \ddot{e} + \lambda_1 \dot{e} + \lambda_2 \dot{e}_1 + \dot{e}_3 \\ &= \left(\dot{u}_2 + \dot{\mathbf{q}}_d \tilde{\boldsymbol{\theta}} + \mathbf{q}_d \dot{\tilde{\boldsymbol{\theta}}} + \dot{\xi}_1 - \ddot{x}_d \right) + \lambda_1 \dot{e} + \lambda_2 \dot{e}_1 - e_3 \\ &\quad - (k_0 + 1)r_2 + e_1 - e_2 + (e_1 - e_1 + r_2 - r_2) \\ &= \left(\dot{\xi}_1 - \ddot{x}_d + \lambda_1 \dot{e} + \lambda_2 \dot{e}_1 - e_3 + e_1 - e_2 - \mathbf{q}_d \dot{\tilde{\boldsymbol{\theta}}} \right) \\ &\quad + (e_1 + r_2) - (k_0 + 1)r_2 - e_1 - r_2 + \dot{\mathbf{q}}_d \tilde{\boldsymbol{\theta}} + \dot{u}_2\end{aligned}\quad (22)$$

where the term $e_1 - e_1 + r_2 - r_2$ introduced in (22) will further be separated into two parts (i.e., $e_1 + r_2$ and $-e_1 - r_2$), which can cancel the cross terms in the subsequent Lyapunov-based stability analysis. To facilitate the stability analysis, we define

$$\begin{aligned}H(x, \dot{x}, \ddot{x}, e_2, e_3, r_2, t) &\triangleq \dot{\xi}_1 - \ddot{x}_d + \lambda_1 \dot{e} + \lambda_2 \dot{e}_1 - e_3 + e_1 \\ &\quad - e_2 - \mathbf{q}_d \dot{\tilde{\boldsymbol{\theta}}} + e_1 + r_2.\end{aligned}\quad (23)$$

After applying the update law (21) and making some arrangements, $H(t)$ can be divided into two auxiliary functions $H_d(t)$ and $H_1(t)$, such that

$$\begin{aligned}H_d &= H(x_d, \dot{x}_d, \ddot{x}_d, 0, 0, 0, t) \\ H_1 &= H - H_d = \left(\dot{\xi}_1 - \dot{\xi}_{1d} \right) + \lambda_1 \dot{e} + \lambda_2 \dot{e}_1 - e_3 \\ &\quad + 2e_1 - e_2 + r_2 - \mathbf{q}_d \beta \dot{\mathbf{q}}_d^T r_2\end{aligned}\quad (24)$$

where $\dot{\xi}_{1d} = \dot{\xi}_1(x_d, \dot{x}_d, \ddot{x}_d, 0, 0, 0, t)$. Then, (22) can be transformed into a simpler form as follows:

$$\dot{r}_2 = H_1 + H_d - (k_0 + 1)r_2 - e_1 - r_2 + \dot{\mathbf{q}}_d \tilde{\boldsymbol{\theta}} + \dot{u}_2 \quad (25)$$

where $\dot{u}_2(t)$ is considered as a virtual control input and can be designed as

$$\dot{u}_2 = (k_0 + 1)e_3 - 2b \operatorname{sgn}(r_1) \quad (26)$$

where k_0 and b are positive control gains. By integrating (26), one has

$$u_2 = \int_0^t [(k_0 + 1) e_3 - 2b \operatorname{sgn}(r_1)] d\tau \quad (27)$$

which can be proven to be continuous (some brief analysis will be provided in Remark 1). Furthermore, from (14) and (27), the control law (13) can be rearranged as follows:

$$u = \frac{1}{w} \left\{ -\mathbf{q}_d \hat{\boldsymbol{\theta}} + \int_0^t [(k_0 + 1) e_3 - 2b \operatorname{sgn}(r_1)] d\tau \right\}. \quad (28)$$

Considering (14) and (27), since $u_1(t)$ and $u_2(t)$ are both continuous, the entire controller $u(t)$ is also continuous. By using the update law (21), the estimation $\hat{\boldsymbol{\theta}}(t)$ can be solved by

$$\hat{\boldsymbol{\theta}} = \beta \dot{\mathbf{q}}_d^T r_1|_0^t - \beta \int_0^t (\ddot{\mathbf{q}}_d^T r_1 - \dot{\mathbf{q}}_d^T \lambda_2 r_1) d\tau + \hat{\boldsymbol{\theta}}(0) \quad (29)$$

where $\hat{\boldsymbol{\theta}}(0)$ is the initial estimate value of $\hat{\boldsymbol{\theta}}(t)$. As shown in (29), the solution of $\hat{\boldsymbol{\theta}}(t)$ does not depend on the signal $r_2(t)$, which is difficult to measure. Then, by inserting (26), it can be obtained from (25) that

$$\begin{aligned} \dot{r}_2 &= H_1 + H_d + (k_0 + 1) e_3 - 2b \operatorname{sgn}(r_1) - (k_0 + 1) r_2 \\ &\quad - e_1 - r_2 + \dot{\mathbf{q}}_d^T \tilde{\boldsymbol{\theta}}. \end{aligned} \quad (30)$$

In the next section, a theorem will be provided to prove the closed-loop stability.

Remark 1: The designed controller in (28) is continuous, which can be proven by providing some discussions on the term $\int_0^t \operatorname{sgn}(r_1(\tau)) d\tau$. To this end, by defining $g_c = \int_0^t \operatorname{sgn}(r_1(\tau)) d\tau$, one can obtain that $|g_c(t_2) - g_c(t_1)| = |\int_{t_1}^{t_2} \operatorname{sgn}(r_1(\tau)) d\tau| \leq |t_2 - t_1| \forall t_1, t_2 > 0$, where $|\operatorname{sgn}(r_1)| \leq 1 \forall r_1 \in \mathbb{R}$ is utilized. Furthermore, for any ε , we let $\delta = \varepsilon$. Then, as long as $|t_2 - t_1| < \delta$, we always have that $|g_c(t_2) - g_c(t_1)| < \varepsilon$, and hence, the term $\int_0^t \operatorname{sgn}(r_1(\tau)) d\tau$ can be proven to be continuous by applying the $\varepsilon - \delta$ definition for continuity.

IV. STABILITY ANALYSIS

First, we present the following lemma.

Lemma 1: The functions $H_1(t)$, $H_d(t)$, and $\dot{H}_d(t)$ are bounded in the sense that

$$\|H_1\|_\infty \leq \varphi \|\boldsymbol{\sigma}\|, \quad \|H_d\|_\infty \leq \epsilon_1, \quad \|\dot{H}_d\|_\infty \leq \epsilon_2 \quad (31)$$

where φ, ϵ_1 , and ϵ_2 are positive constants, and the error vector $\boldsymbol{\sigma} \in \mathbb{R}^{5 \times 1}$ is given as

$$\boldsymbol{\sigma} \triangleq [r_2, e, e_1, e_2, e_3]^T = [\sigma_1, \dots, \sigma_5]^T. \quad (32)$$

Proof: First, from (19) and (20), the equations can be calculated as follows:

$$\begin{aligned} \ddot{e} &= r_2 - (\lambda_1 + \lambda_2) e_1 - e_3 + \lambda_1^2 e \\ \dot{e}_1 &= r_2 - \lambda_2 e_1 - e_3, \quad \dot{e} = e_1 - \lambda_1 e. \end{aligned} \quad (33)$$

By using the mean value theorem, based on (32) and (33), the following equation can be derived:

$$\begin{aligned} &\dot{\xi}_1(x, \dot{x}, \ddot{x}, e_2, e_3, r_2, t) - \dot{\xi}_{1d}(x_d, \dot{x}_d, \ddot{x}_d, 0, 0, 0, t) \\ &= f_1(\sigma_1) + f_2(\sigma_2) + f_3(\sigma_3) + f_4(\sigma_4) + f_5(\sigma_5) \\ &= \frac{\partial f_1}{\partial \sigma_1} \Big|_{\sigma_1=z_1} \cdot \sigma_1 + \frac{\partial f_2}{\partial \sigma_2} \Big|_{\sigma_2=z_2} \cdot \sigma_2 + \frac{\partial f_3}{\partial \sigma_3} \Big|_{\sigma_3=z_3} \cdot \sigma_3 \\ &\quad + \frac{\partial f_4}{\partial \sigma_4} \Big|_{\sigma_4=z_4} \cdot \sigma_4 + \frac{\partial f_5}{\partial \sigma_5} \Big|_{\sigma_5=z_5} \cdot \sigma_5 \\ &= \boldsymbol{\nu} \cdot \boldsymbol{\sigma} \end{aligned} \quad (34)$$

where $f_i(\sigma_i)'s, i = 1, \dots, 5$ are functions of $\sigma_i's$, and $\boldsymbol{\nu} \in \mathbb{R}^{1 \times 5}$ is defined as follows:

$$\boldsymbol{\nu} \triangleq \left[\frac{\partial f_1}{\partial \sigma_1} \Big|_{\sigma_1=z_1}, \dots, \frac{\partial f_5}{\partial \sigma_5} \Big|_{\sigma_5=z_5} \right] \quad (35)$$

in which $z_i's$ are constants chosen within the ranges of $\sigma_i's, i = 1, \dots, 5$. Hence, from (34) and (35), by applying the Cauchy inequality, the upper bound of (34) can be derived as follows:

$$|\dot{\xi}_1 - \dot{\xi}_{1d}| \leq \|\boldsymbol{\nu}\| \|\boldsymbol{\sigma}\|. \quad (36)$$

By inserting (33), (34), and (36) into (24) and making some mathematical arrangements, we have

$$\|H_1\|_\infty = |H_1| \leq \|\boldsymbol{\nu}\| \|\boldsymbol{\sigma}\| + \|\boldsymbol{\rho}\| \|\boldsymbol{\sigma}\| \leq \varphi \|\boldsymbol{\sigma}\| \quad (37)$$

where

$$\boldsymbol{\rho} = \begin{bmatrix} |\lambda_1 + \lambda_2 + 1 - \mathbf{q}_d \beta \dot{\mathbf{q}}_d^T| \\ |\lambda_1^3| \\ |2 - \lambda_1(\lambda_1 + \lambda_2) - \lambda_2^2| \\ 1 \\ |\lambda_1 + \lambda_2 + 1| \end{bmatrix}^T \quad (38)$$

and φ is a constant satisfying $\varphi \geq \|\boldsymbol{\nu}\| + \|\boldsymbol{\rho}\|$. Obviously, based on (12) and (24), the functions $H_d(t)$ and $\dot{H}_d(t)$ are bounded such that

$$\|H_d\|_\infty \leq \epsilon_1, \quad \|\dot{H}_d\|_\infty \leq \epsilon_2 \quad (39)$$

where ϵ_1 and ϵ_2 are positive constants. Thus, Lemma 1 is proven. ■

Then, the main stability analysis is proceeded with the following theorem.

Theorem 1: If the following conditions are satisfied:

$$b > \epsilon_1 + \frac{1}{\lambda_2} \epsilon_2, \quad \lambda_1 > \frac{1}{2}, \quad \lambda_2 > \frac{1}{2}, \quad k_0 \geq \frac{\varphi^2}{y} \quad (40)$$

then the tracking error $e(t)$ asymptotically converges to zero by the proposed controller (28), in the sense that

$$\|e(t)\| \rightarrow 0 \text{ as } t \rightarrow \infty. \quad (41)$$

Proof: A scalar function $V(t)$ is chosen as follows (which will be proven to be a Lyapunov function candidate next):

$$\begin{aligned} V &\triangleq \frac{1}{2}r_2^2 + \frac{1}{2}e^2 + \frac{1}{2}e_1^2 + \frac{1}{2}e_2^2 + \frac{1}{2}e_3^2 \\ &\quad + (2b|r_1| - H_d r_1) + \frac{1}{2}\tilde{\theta}^T \beta^{-1} \tilde{\theta} \\ &\triangleq \frac{1}{2}\|\Lambda\|^2 + \Omega \end{aligned} \quad (42)$$

where $\Lambda \triangleq [e^T, e_1^T, e_2^T, e_3^T, r_2^T, \tilde{\theta}^T]^T$, $\Omega = 2b|r_1| - H_d r_1$. Because $b > \epsilon_1 + \frac{1}{\lambda_2}\epsilon_2$, along with (39), one has

$$(2b - \|H_d\|_\infty)|r_1| \geq b|r_1| \geq 0. \quad (43)$$

Also, it can be derived that

$$H_d r_1 \leq \|H_d\|_\infty |r_1| \Rightarrow -H_d r_1 \geq -\|H_d\|_\infty |r_1|. \quad (44)$$

By adding $2b|r_1|$ to both sides of (44), one can obtain

$$2b|r_1| - H_d r_1 \geq 2b|r_1| - \|H_d\|_\infty |r_1|. \quad (45)$$

Then, based on (43) and (45), an inequality is obtained as follows:

$$2b|r_1| - H_d r_1 \geq b|r_1| \geq 0. \quad (46)$$

Obviously, because $-H_d r_1 \leq \|H_d\|_\infty |r_1|$, it is implied that

$$2b|r_1| - H_d r_1 \leq (2b + \|H_d\|_\infty)|r_1|. \quad (47)$$

From (42) and (47), we may derive that

$$0 \leq h_1 \|\Lambda\|^2 + b|r_1| \leq V \leq h_2 \|\Lambda\|^2 + (2b + \|H_d\|_\infty)|r_1| \quad (48)$$

where $h_1 = \min\{\frac{1}{2}, \frac{1}{2}\lambda_{\min}\{\beta^{-1}\}\}$, $h_2 = \max\{\frac{1}{2}, \frac{1}{2}\lambda_{\max}\{\beta^{-1}\}\}$, and λ_{\min} and λ_{\max} are the minimum and the maximum eigenvalues of β^{-1} , respectively. Hence, it is implied from (48) that $V(t)$ is nonnegative. In addition, from (42), the equilibrium point is given as follows:

$$\Lambda_{ep} = [e^T, e_1^T, e_2^T, e_3^T, r_1^T, r_2^T, \tilde{\theta}^T]^T = \mathbf{0}. \quad (49)$$

Based on (42) and (49), $V(t) = 0$ if and only if $\Lambda_{ep} = \mathbf{0}$. Hence, from the above analysis, $V(t)$ is *positive definite* and thus is a Lyapunov function candidate. Furthermore, to facilitate the subsequent analysis, the following vector $\mathbf{N} \in \mathbb{R}^2$ is defined:

$$\mathbf{N} \triangleq [\Lambda^T, \sqrt{\Omega}]^T \quad (50)$$

and the differential equation of $\mathbf{N}(t)$ is defined as $\dot{\mathbf{N}} \triangleq R(\mathbf{N}, t)$, which is continuous except in the set $\{\mathbf{N}: r_1 = 0\}$. Then, let $\mathbf{N}(t)$ denote a Filippov continuous solution to $\dot{\mathbf{N}} = R(\mathbf{N}, t)$, such that $\dot{\mathbf{N}} \in^{\text{a.e.}} K[R](\mathbf{N})$, where $K[\cdot]$ is an upper semicontinuous set-valued map in [35]. Based on the Filippov theory, if we define the time derivative of $V(\mathbf{N})$ as $\dot{V}(\mathbf{N})$, then $\dot{V}(\mathbf{N})$ exists almost everywhere (a.e.), such that

$\dot{V}(\mathbf{N}) \in^{\text{a.e.}} \dot{\hat{V}}(\mathbf{N})$, and $\dot{\hat{V}}(\mathbf{N})$ is given as follows:

$$\begin{aligned} \dot{\hat{V}} &\triangleq \bigcap_{\delta \in \partial V(\mathbf{N})} \delta^T \left(K \left[\dot{\Lambda}^T, \dot{\Omega}/2\sqrt{\Omega} \right]^T \right) \\ &\subset [\Lambda^T, 2\sqrt{\Omega}] \left(K \left[\dot{\Lambda}^T, \dot{\Omega}/2\sqrt{\Omega} \right]^T \right) \end{aligned} \quad (51)$$

where $\partial V(\mathbf{N})$ represents the generalized gradient of $V(\mathbf{N})$, and $\delta \in \partial V(\mathbf{N})$ is introduced by the Filippov theory [35]. After taking the derivative of $V(t)$ in (42), it can be obtained on the basis of (51) that

$$\begin{aligned} \dot{\hat{V}} &\subset r_2 K[\dot{r}_2] + e\dot{e} + e_1\dot{e}_1 + e_2\dot{e}_2 + e_3\dot{e}_3 \\ &\quad + 2bK[\text{sgn}(r_1)]\dot{r}_1 - \dot{H}_d r_1 - H_d \dot{r}_1 + \tilde{\theta}^T \beta^{-1} \dot{\tilde{\theta}}. \end{aligned} \quad (52)$$

By inserting (19) and (30) into (52), after making some mathematical arrangements, (52) can be rewritten into the following form:

$$\begin{aligned} \dot{\hat{V}} &\subset r_2 H_d - 2br_2 K[\text{sgn}(r_1)] - e_1 r_2 + r_2 H_1 + r_2 e_3 (k_0 + 1) \\ &\quad - (k_0 + 1)r_2^2 - r_2^2 + \left[r_2 \dot{q}_d \tilde{\theta} - \tilde{\theta}^T \beta^{-1} (\beta \dot{q}_d r_2) \right] + ee_1 \\ &\quad - \lambda_1 e^2 + e_1 \dot{e}_1 + e_2 e_3 - \lambda_2 e_2^2 - e_3^2 - r_2 e_3 (k_0 + 1) \\ &\quad + e_1 e_3 - e_2 e_3 + 2bK[\text{sgn}(r_1)]\dot{r}_1 - \dot{H}_d r_1 - H_d \dot{r}_1. \end{aligned} \quad (53)$$

Then, on the basis of the two expressions of $r_2(t)$ in (20), respectively, the first three terms of (53) become

$$\begin{aligned} r_2 H_d - 2br_2 K[\text{sgn}(r_1)] &= (\dot{r}_1 + \lambda_2 r_1) H_d \\ &\quad - 2b(\dot{r}_1 + \lambda_2 r_1) K[\text{sgn}(r_1)] \\ e_1 r_2 &= e_1 (\dot{e}_1 + \lambda_2 e_1 + e_3). \end{aligned} \quad (54)$$

By inserting (54), the following conclusion is obtained from (53):

$$\begin{aligned} \dot{\hat{V}} &\subset -2r_2^2 - \lambda_1 e^2 - \lambda_2 e_1^2 - \lambda_2 e_2^2 - e_3^2 + ee_1 - k_0 r_2^2 \\ &\quad - 2b\lambda_2 K[\text{sgn}(r_1)]r_1 + \lambda_2 H_d r_1 - \dot{H}_d r_1 + r_2 H_1. \end{aligned} \quad (55)$$

It is worth mentioning that $K[\text{sgn}(r_1)]r_1 = \text{SGN}(r_1)r_1 = |r_1|$, where the definition of $\text{SGN}(\cdot)$ can be found in [36]. Considering (39), it can be obtained that

$$\begin{aligned} ee_1 &\leq \frac{1}{2}e^2 + \frac{1}{2}e_1^2, \lambda_2 r_1 H_d \leq \lambda_2 \|H_d\|_\infty |r_1| \\ \dot{H}_d r_1 &\leq \|\dot{H}_d\|_\infty |r_1|, r_2 H_1 \leq |r_2| \|H_1\|. \end{aligned} \quad (56)$$

From (55) and (56), one has

$$\begin{aligned} \dot{\hat{V}} &\stackrel{\text{a.e.}}{\leq} \left[-2r_2^2 - \left(\lambda_1 - \frac{1}{2} \right) e^2 - \left(\lambda_2 - \frac{1}{2} \right) e_1^2 - \lambda_2 e_2^2 - e_3^2 \right] \\ &\quad - 2\lambda_2 b|r_1| + \lambda_2 \|H_d\|_\infty |r_1| + \|\dot{H}_d\|_\infty |r_1| + |r_2| \|H_1\| - k_0 r_2^2. \end{aligned} \quad (57)$$

Also, from (40), the following inequalities can be derived:

$$\begin{aligned} -\lambda_2 b |r_1| &\leq -(\lambda_2 \epsilon_1 + \epsilon_2) |r_1|, \|\dot{H}_d\|_\infty |r_1| \leq \epsilon_2 |r_1| \\ \lambda_2 \|H_d\|_\infty |r_1| &\leq \lambda_2 \epsilon_1 |r_1|, |r_2| \|H_1\| \leq \varphi \|\sigma\| |r_2|. \end{aligned} \quad (58)$$

Hence, by inserting (58), the following inequality can be further obtained from (57):

$$\begin{aligned} \dot{V} &\stackrel{\text{a.e.}}{\leq} \left[-2r_2^2 - \left(\lambda_1 - \frac{1}{2} \right) e^2 - \left(\lambda_2 - \frac{1}{2} \right) e_1^2 - \lambda_2 e_2^2 - e_3^2 \right] \\ &\quad + \varphi \|\sigma\| |r_2| - k_0 r_2^2 - \lambda_2 b |r_1| \\ &\stackrel{\text{a.e.}}{\leq} -\min \left\{ \lambda_1 - \frac{1}{2}, \lambda_2 - \frac{1}{2}, 1 \right\} \|\sigma\|^2 - \lambda_2 b |r_1| \\ &\quad + \varphi \|\sigma\| |r_2| - k_0 r_2^2 \\ &\stackrel{\text{a.e.}}{\leq} -y \|\sigma\|^2 + \frac{\varphi^2 \|\sigma\|^2}{k_0} \end{aligned} \quad (59)$$

where $y = \min\{\lambda_1 - \frac{1}{2}, \lambda_2 - \frac{1}{2}, 1\}$. According to (59), it can be obtained that

$$\dot{V} \stackrel{\text{a.e.}}{\leq} - \left(y - \frac{\varphi^2}{k_0} \right) \|\sigma\|^2. \quad (60)$$

Additionally, in (40), if k_0 meets the condition of $k_0 \geq \frac{\varphi^2}{y}$, then one has that $\varphi \leq \sqrt{k_0 y}$, $\varphi^2 \leq k_0 y$, which leads to $y - \frac{\varphi^2}{k_0} \geq 0$. Therefore, from (60), we have

$$\dot{V} \stackrel{\text{a.e.}}{\leq} 0. \quad (61)$$

Based on (48) and (61), one has

$$V, e, e_1, e_2, r_1, r_2, \tilde{\theta} \in \mathcal{L}_\infty. \quad (62)$$

Considering (62), since $\theta(t)$ contains unknown constants and $\tilde{\theta}(t) \in \mathcal{L}_\infty$, it can be deduced that $\theta(t) \in \mathcal{L}_\infty$. From (17), (19), (20), and (62), it can be concluded that $q(t), q_d(t) \in \mathcal{L}_\infty$. Then, (10) and (12) show that $u(t) \in \mathcal{L}_\infty$.

Based on (42) and (61), by using the LaSalle–Yoshizawa theorem [36], the conclusion can be derived that

$$\|\sigma\| \rightarrow 0 \text{ as } t \rightarrow \infty \Rightarrow \|e(t)\| \rightarrow 0 \text{ as } t \rightarrow \infty \quad (63)$$

which indicates that Theorem 1 is proven. ■

Remark 2: It is worth mentioning that, from (7) and Theorem 1, if $\Delta u = 0$, then there exists no input saturation problem, and we have $F(u) = u$; even though the calculated value of $u(t)$ exceeds the input constraints, i.e., $\Delta u \neq 0$, the provided stability analysis ensures that the closed-loop system's equilibrium point is asymptotically stable.

V. EXPERIMENTAL RESULTS

A. Self-Built Hardware Platform of PAM Systems

In order to verify the effectiveness of the proposed control method, a few groups of hardware experiments are implemented on a self-built hardware platform as shown in Fig. 3. The platform mainly consists of a Festo DMSP-20-60N-RM-CM-DN

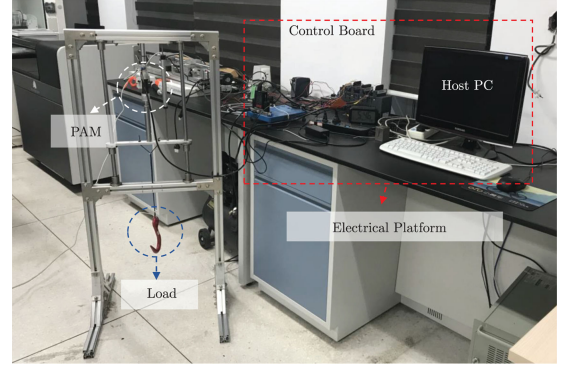


Fig. 3. Self-built experiment platform of PAM systems.

fluidic muscle (working air pressure: 0–6 bar, internal diameter: 20 mm, nominal length: 60 mm, and maximum tensile strength: 1500 N), a NOVOTECHNIK displacement sensor (precision: 0.01 mm), a PCD-dual valve pressure controller (Alicat company), and a real-time measurement and control system, i.e., National Instruments cRIO-9082. The control commands are generated by using LabVIEW, and the control period is 5 ms.

B. Experimental Results and Analysis

For the experiments, the control gains of the proposed controller (28) are first given as follows:

$$\begin{aligned} m_0 &= 0.633 \text{ kg}, \quad g = 9.8 \text{ m/s}^2, \quad w = 222.95 \\ k_0 &= 0.8, \quad b = 220, \quad \lambda_1 = 20, \quad \lambda_2 = 20. \end{aligned} \quad (64)$$

Then, in Experiments 1 and 3, the proposed control method is compared with the nonlinear adaptive control method in [27]. The specific expression and the update law of the comparative method are shown as follows:

$$\begin{aligned} u &= -q\hat{\theta} + k_{ap}\dot{e} + k_{ad}e \\ \dot{\hat{\theta}} &= \gamma q^T (\dot{e} + \lambda e) \end{aligned} \quad (65)$$

where $\gamma \in \mathbb{R}^{3 \times 3}$ is a positive-definite constant diagonal matrix, and k_{ap}, k_{ad} , and λ represent positive control gains, which are carefully tuned as $k_{ap} = 0.4$, $k_{ad} = 0.1$, and $\lambda = 3$. To validate the effectiveness and robustness of the proposed control method, hardware experiments are implemented in the following situations.

Experiment 1 (comparative experiments): In this group, the nonlinear adaptive controller (65) is chosen as the comparative method. The control gains of the proposed method are given in (64). To demonstrate the tracking performance, by tracking different kinds of reference trajectories, the following three cases are considered.

- 1) *Case 1 (step trajectory):* The amplitude of the step trajectory has a preset value as 7 mm.
- 2) *Case 2 (sine trajectory):* The amplitude of the sine trajectory is set as 4 mm, the frequency of the waveform is 0.05 Hz, the initial phase is chosen as $-\pi/2$, and the offset of the trajectory is 6 mm.

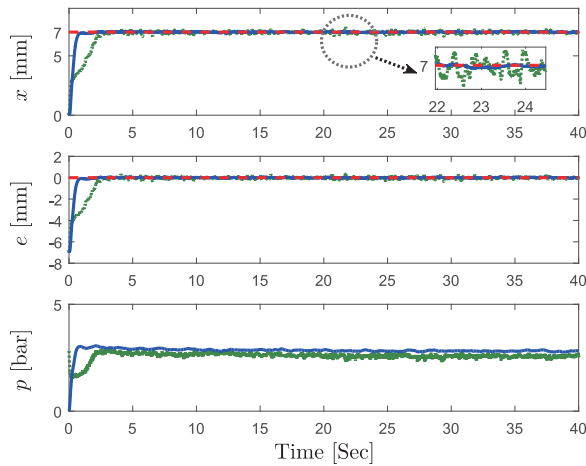


Fig. 4. Results of Experiment 1: Case 1 (reference trajectories—red dashed line; proposed method—blue solid line; nonlinear adaptive comparative method—green dotted line).

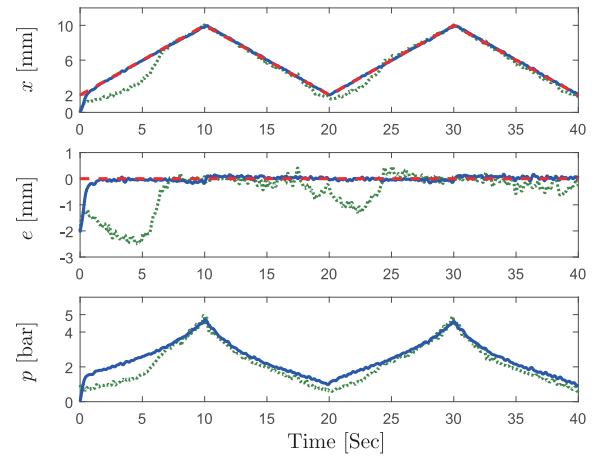


Fig. 6. Results of Experiment 1: Case 3 (reference trajectories—red dashed line; proposed method—blue solid line; nonlinear adaptive comparative method—green dotted line).

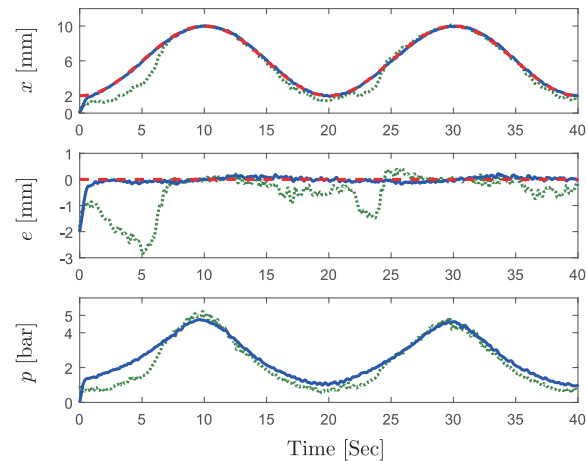


Fig. 5. Results of Experiment 1: Case 2 (reference trajectories—red dashed line; proposed method—blue solid line; nonlinear adaptive comparative method—green dotted line).

- 3) *Case 3 (triangular-wave trajectory)*: The amplitude, the frequency, the initial phase, and the offset of the triangular-wave trajectory are given as 4 mm, 0.05 Hz, $-\pi/2$, and 6 mm, respectively.

The experimental results of Experiment 1 are shown in Figs. 4–6. As shown in Fig. 4, the load displacement $x(t)$ converges to the desired value of 7 mm at about 2 s by applying the proposed method, which is faster than the nonlinear adaptive comparative method (more than 4.7 s). Then, the load displacement $x(t)$ is stabilized at the desired value *without* residual oscillations by the proposed method, while for the comparative method, there still exist residual oscillations. Also, as shown in Figs. 5 and 6, when tracking sine and triangular-wave trajectories, the proposed controller also exhibits rapid and precise tracking performance, with smaller tracking errors than those of the comparative method. Hence, by applying the proposed control method, PAM systems can track various reference trajectories well with satisfactory transient and steady performance.

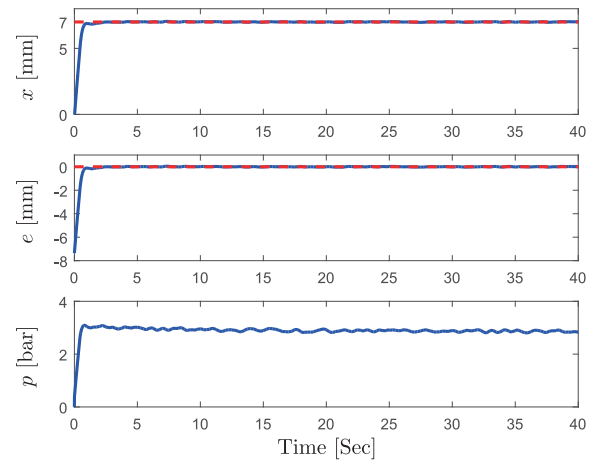


Fig. 7. Results of Experiment 2: Case 1 (reference trajectories—red dashed line; proposed method—blue solid line).

Experiment 2 (varying load mass): In this group, the load mass is changed to 1.633 kg. The other control gains are the same as those in Experiment 1.

As in Experiment 1, in this group, the three kinds of reference trajectories (i.e., step, sine, and triangular-wave trajectories) are chosen again to verify the tracking performance. The trajectory parameters (e.g., amplitudes, frequencies, etc.) are all the same as those set for Experiment 1.

The experimental results of Experiment 2 are shown in Figs. 7–9. As shown in Figs. 7–9, even when the load mass is changed, the proposed controller can also achieve accurate positioning and satisfactory tracking performance, *without* returning corresponding control gains, by compensating the parametric uncertainties through online estimation. Therefore, the proposed controller can deal with system parametric uncertainties.

Experiment 3 (external disturbances): In this group, to further verify the robustness of the proposed control strategy against external disturbances, the load displacement $x(t)$ is disturbed three times during the entire process at about 10, 20, and 30 s,

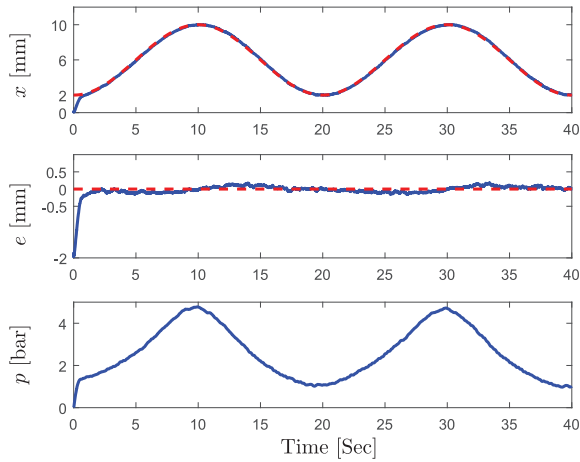


Fig. 8. Results of Experiment 2: Case 2 (reference trajectories—red dashed line; proposed method—blue solid line).

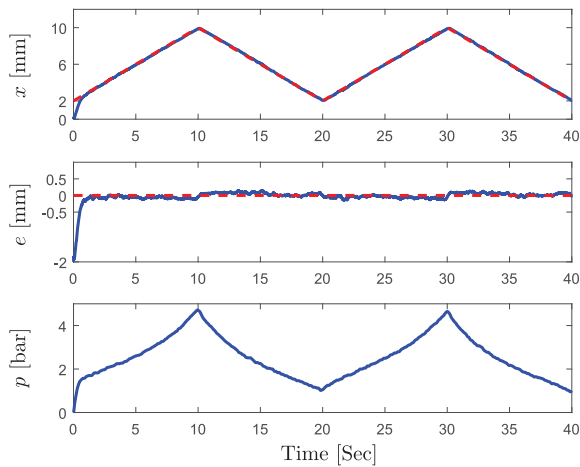


Fig. 9. Results of Experiment 2: Case 3 (reference trajectories—red dashed line; proposed method—blue solid line).

respectively. The nonlinear adaptive controller (65) is utilized again for comparison.

Fig. 10 shows the experimental results of Experiment 3. It can be seen that the effects induced by these external disturbances are eliminated more effectively and rapidly by the proposed control method than the comparative method. Thus, the proposed control method exhibits satisfactory robustness in the presence of external disturbances.

VI. CONCLUSION

Aiming at improving the tracking performance and robustness, this paper proposed an adaptive control method for PAM systems *without* linearizing the nonlinear dynamics. Considering unidirectional input constraints, some transforming operations were introduced. To prove the asymptotic convergence of tracking errors, detailed stability analysis was provided with the theoretical analysis. The effectiveness and robustness of the proposed control method were verified by hardware experiments implemented on a self-built experiment platform. In the

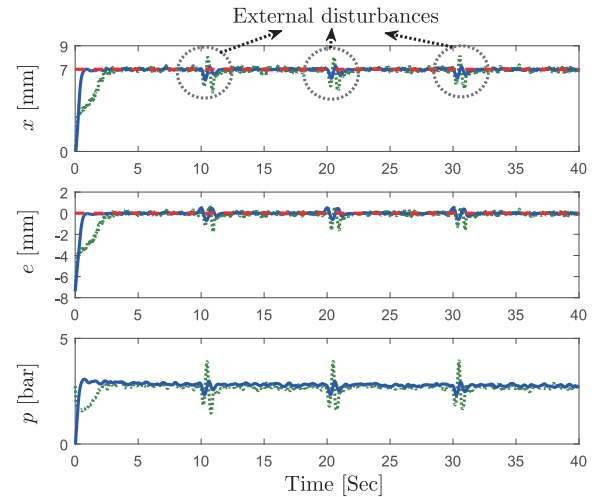


Fig. 10. Results of Experiment 3 (reference trajectories—red dashed line; proposed method—blue solid line; nonlinear adaptive comparative method—green dotted line).

future, multi-PAM systems (e.g., multilink robots actuated by multi-PAM systems) will be further investigated.

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